Due: May 3, 2024

## Math 6030 / Problem Set 12 (two pages)

**Prolongations of valuations.** Let  $R = R_v$  be a valuation ring of a field K with canonical valuation v, valuation ideal  $\mathfrak{m}_v$ , valuation group  $vK = K^\times/R_v^\times$  and residue field  $\kappa_v = R_v/\mathfrak{m}_v$ . Let L|K be a field extension and  $R_w$  be a valuation ring of L with canonical valuation w, valuation ideal  $\mathfrak{m}_w$ , value group  $wL = L^\times/R_w^\times$  and residue field  $\kappa_w$ .

**Recall**: We say that: w prolongs v if  $vK \subset wL$  and  $v = w|_K$ , denoted w|v, and that  $R_w$  prolongs  $R_v$  if  $R_w$ ,  $\mathfrak{m}_w$  dominates  $R_v$ ,  $\mathfrak{m}_v$ , i.e.,  $\mathfrak{m}_v = \mathfrak{m}_w \cap R$ , denoted  $R_w|R_v$ . If so, define: e(w|v) := (wL : vK) the ramification index, and  $f(w|v) := [\kappa_w : \kappa_v]$  the residue degree of w|v.

- 1) In the above notation, prove/disprove/answer:
  - a) One has: w|v iff  $R_w|R_v$  iff  $R^{\times} = K \cap R_w^{\times}$ .
  - b) Suppose that w or equivalently,  $R_w|R_v$ .
    - If  $u_i \in L$  and  $w(u_i) \neq w(u_{i'})$  for all  $i \neq i'$ , then  $w(\sum_i u_i) = \min_i w(u_i)$ .
    - If  $x_j \in R$  and  $(\overline{x}_j)_j$  are  $\kappa_v$  lin. indep. in  $\kappa_w$ , then  $(x_j)_j$  are K-lin. indep. in L.
- 2) Suppose that  $R_w|R_v, R_{w_l}|R_v, l \leq n$  be distinct. In the above notation/context, TFH:
  - I) The (weak) Fundamental Inequality. Let  $(y_i)_i$  in L and  $(x_j)_j$  in  $R_w$  be s.t.  $(w(y_i))_i$  are distinct in  $wL \to wL/vK$  and  $(\overline{x}_j)_j$  are  $\kappa_v$ -linearly independent in  $\kappa_w$ . Then  $(x_iy_j)_{i,j}$  is K-linearly independent in L.
  - II) The Fundamental Inequality. One has  $\sum_{l} e(w_l|v) f(w_l|v) \leq [L:K]$ .
- 3) In the above context, let L|K be algebraic,  $S|R_v$  be the integral closure of  $R_v$  in L, and  $X_v = \{w \in Val(L) \mid w \mid v\}$  be the set of prolongations of v to L. Prove/disprove/answer:
  - a) The map  $X_v \to \operatorname{Max}(S)$ ,  $\mathfrak{m}_w \mapsto \mathfrak{n} := \mathfrak{m}_w \cap S$  is a well defined bijection and  $R_w = S_{\mathfrak{n}}$ .
  - b) For every  $w \in X_v$  one has: wL/vK is a torsion group, and  $\kappa_w|\kappa_v$  is algebraic. Moreover, if  $L = \overline{K}$ , then wL is divisible and  $\kappa_w = \overline{\kappa}_w$ . Does the converse hold?

[Hint to a): For  $x \in L^{\times}$ , let  $\operatorname{Mipo}_K(x) = t^n + \cdots + a_0 \in K[t]$ . If  $i_0$  is maximal s.t.  $v(a_{i_0}) = \min_i v(a_i)$ , set  $b_i = a_{i+i_0}/a_{i_0}$  for  $i \leqslant n - i_0$ ,  $c_j = a_j/a_{i_0}$  for j < m. Then  $p(t) = \sum_i b_i t^i \in 1 + t \, \mathfrak{m}_v[t]$  (WHY) and  $q(t) = \sum_j c_j t^j \in R_v[t]$  (WHY). Further,  $p(x) = \frac{1}{x} q(\frac{1}{x})$  (WHY), and  $p(x) \in 1 + \mathfrak{m}_v[x]$  (WHY). Next,  $\forall R_w | R_v$  have:  $x \in R_w \Rightarrow p(x) \in R_w$ , thus  $q(\frac{1}{x}) \in R_w$ ;  $\frac{1}{x} \in R_w \Rightarrow q(\frac{1}{x}) \in R_w$ , thus  $p(x) \in R_w$  (WHY). Conclude:  $\forall x \in K$  have  $p(x), q(\frac{1}{x}) \in S$  (WHY). Now suppose that  $x \in \mathfrak{m}_w$ . Then  $p(x) \in 1 + \mathfrak{n}$  (WHY) and  $x p(x) = q(\frac{1}{x})$  implies:  $q(\frac{1}{x}) \in \mathfrak{m}_w \cap R = \mathfrak{n}$  (WHY). Thus  $x = p(x)^{-1}q(\frac{1}{x}) \in \mathfrak{n}_{\mathfrak{n}}$  (WHY), etc.

- 4) In the above context/notation, let  $R_v$  be a DVR and [L:K] be finite. Prove/disprove:
  - a)  $S^+$  is a finite  $R_v$ -module iff the fundamental equality  $\sum_l e(w_l|v) f(w_l|v) = [L:K]$  holds.
  - b) If L|K is finite separable, the fundamental equality  $\sum_{l} e(w_{l}|v) f(w_{l}|v) = [L:K]$  holds.

More about the integral closure. Let  $\widehat{K} := k((t))$  endowed with  $\widehat{v}$ . Then  $\operatorname{td}(\widehat{K}|k) = \infty$  (why), and for  $t, u \in \widehat{K}$  alg. indep. over k, set  $K = k(t, u^{p^e})$ , L = k(t, u), and  $w = \widehat{v}|_{L}$ ,  $v = \widehat{v}|_{K}$ .

- 5) In the above notation, let char(k) = p > 0. Prove/disprove:
  - a) L|K is a purely inseparable of degree  $p^e$ .
  - b) w is the unique extension of v to L and e(w|v) = 1 = f(w|v).
  - c)  $R_w|R_v$  is the integral closure of  $R_v$  in L, but  $R_w$  is not a finite  $R_v$ -module.

- **6)** Complete the proof of the assertion from the class:
- Let  $R = k[x_1, ..., x_n]$  is a finitely generated domain over a field k, K = Quot(R), L|K is a finite field extension, and S|R be the integral closure of R in L. Then S is a finite R-module.

[Hint: Use the explanations from the class to reduce to the case  $R_0 = k[t_1, \dots, t_d]$ , hence  $K_0 = k(t_1, \dots, t_d)$  and  $L_0|K_0$  purely inseparable. Conclude by induction on  $[L_0:K_0]$  as follows: If  $\alpha^p = p(t_1, \dots, t_d)$ , then  $\alpha \in K_1 = k_1(u_1, \dots, u_d)$ , where  $k_1|k$  is the finite field extension obtained by adjoining the p-th roots of all the coefficients of  $p(t_1, \dots, t_d)$  and  $u_i^p = t_i$  for  $1 \le i \le d$ , etc. ...

- 7) Describe the decomposition groups of the prime ideals  $\mathfrak{p} \in \operatorname{Spec}(R)$  in S|R below:
  - a)  $R = \mathbb{Z}$ , S the ring of algebraic integers in  $K = \mathbb{Q}[\sqrt{d}]$  with 1 < |d| < 7.
  - b)  $R = \mathbb{Z}$ , S the ring of algebraic integers in  $K = \mathbb{Q}[\zeta_3, \sqrt[3]{2}]$ ,  $\mathfrak{p} = 2\mathbb{Z}, 3\mathbb{Z}, 5\mathbb{Z}, 7\mathbb{Z}$ .

## More about fractional ideals.

- 8) Let R be a Noetherian domain, Min(R) minimal prime ideals  $\mathfrak{p} \neq (0)$ . Prove/disprove/answer:
  - a) An ideal  $\mathfrak{a} \subset R$  is invertible iff  $\operatorname{Spec}(\mathfrak{a}) \subset \operatorname{Min}(R)$ , and all  $\mathfrak{p} \in \operatorname{Min}(\mathfrak{a})$  are invertible.
  - b) If  $\mathfrak{p} \in \operatorname{Spec}(R)$  is invertible, then  $\mathfrak{p} \in \operatorname{Min}(R)$  and  $R_{\mathfrak{p}}$  is integrally closed. Conversely?
  - c) R is integrally closed iff all  $\mathfrak{p} \in \text{Min}(R)$  are invertible.

Conclude:  $\operatorname{Div}(R) = \bigoplus_{\mathfrak{p}} \mathbb{Z} \mathfrak{p}$  with  $\mathfrak{p} \in \operatorname{Min}(R)$  invertible.

[Hint to a), b): Use Krull Principal Ideal Thm combined with the Lemma from the proof of the Characterization Thm, etc. . . . ]

- 9) Prove the following basic facts about Dedekind domains R:
  - Gauss Lemma for Dedekind domains  $R: For f = a_n t^n + \cdots + a_0 \in R[t]$  let  $c(f) := (a_0, ..., a_n) \in \mathcal{I}d(R)$  be the content of f. Then one has c(fg) = c(f)c(g).
  - For  $\mathfrak{a}, \mathfrak{b}, \mathfrak{c} \in \mathcal{I}d(R)$  one has:  $\mathfrak{a} \cap (\mathfrak{b} + \mathfrak{c}) = (\mathfrak{a} \cap \mathfrak{b}) + (\mathfrak{a} \cap \mathfrak{c}), \ \mathfrak{a} + (\mathfrak{b} \cap \mathfrak{c}) = (\mathfrak{a} + \mathfrak{b}) \cap (\mathfrak{a} + \mathfrak{c}).$
  - A finite R-module M is flat iff M is torsion free.
  - Let M be a finite torsion R-module. There are  $(\mathfrak{p}_i)_i$ ,  $(e_i)_i$ ,  $i \in I$  finite s.t.  $M \cong_R \oplus_i R/\mathfrak{p}_i^{e_i}$ .
  - For  $N \subset R^N$  an R-submodule,  $\exists \mathfrak{a} \in \mathcal{I}d(R)$ ,  $N_0 \subset N$  R-free such that  $N \cong_R N_0 \oplus \mathfrak{a}$ .

[Hint: Localize at each  $\mathfrak{p} \in \operatorname{Max}(R)$ , and use the fact that two modules are equal, morphisms are injective/surjective, etc. iff the corresponding assertions hold everywhere locally, etc.... For the last assertion, show that  $\mathfrak{a} \oplus \mathfrak{b} \cong_R R \oplus \mathfrak{c}$ , etc....]