

## Math 202 / Problem Set 9 (two pages)

Recall that for every  $x \in \mathbb{R}$  and  $r \in \mathbb{N}_{>0}$  the following hold (see HW # 8, Problem 6):

- a) If  $x \geq 0$  there exists a **unique**  $y \in \mathbb{R}$ ,  $y \geq 0$  such that  $y^r = x$ .
- b) If  $r$  is odd, for every  $x \in \mathbb{R}$  there is a **unique**  $y \in \mathbb{R}$  such that  $y^r = x$ .

**Notation:**  $x^{\frac{1}{r}} \stackrel{\text{def}}{=} \sqrt[r]{x} \stackrel{\text{def}}{=} y$  is called the  $r^{\text{th}}$  root of  $x$ .

### The $r^{\text{th}}$ -root function.

Let  $r \in \mathbb{N}_{>0}$  be given. Define the  $r^{\text{th}}$ -root function  $\sqrt[r]{\cdot}$  as follows:

- I) If  $r \in \mathbb{N}_{>0}$  is even, define  $\sqrt[r]{\cdot} : [0, \infty) \rightarrow [0, \infty)$  by  $x \mapsto \sqrt[r]{x} = x^{\frac{1}{r}}$ .
- II) If  $r \in \mathbb{N}$  is odd, define  $\sqrt[r]{\cdot} : \mathbb{R} \rightarrow \mathbb{R}$  by  $x \mapsto \sqrt[r]{x} = x^{\frac{1}{r}}$ .

1) Prove that the  $r^{\text{th}}$ -root function  $\sqrt[r]{\cdot}$  is bijective and has the following properties:

- a)  $\sqrt[r]{\cdot}$  is strictly increasing, i.e.,  $x_1 < x_2$  iff  $\sqrt[r]{x_1} < \sqrt[r]{x_2}$ , that is,  $x_1^{\frac{1}{r}} < x_2^{\frac{1}{r}}$ .
- b)  $\sqrt[r]{\cdot}$  is multiplicative, i.e.,  $\sqrt[r]{x_1 x_2} = \sqrt[r]{x_1} \sqrt[r]{x_2}$ , that is,  $(x_1 x_2)^{\frac{1}{r}} = x_1^{\frac{1}{r}} x_2^{\frac{1}{r}}$ .
- c)  $\sqrt[r]{\cdot} \circ \sqrt[s]{\cdot} = \sqrt[rs]{\cdot} = \sqrt[s]{\cdot} \circ \sqrt[r]{\cdot}$ , or  $(x^{\frac{1}{s}})^{\frac{1}{r}} = x^{\frac{1}{rs}} = (x^{\frac{1}{r}})^{\frac{1}{s}}$  (provided everything is defined).

### The power- $\alpha$ function $f_\alpha : (0, \infty) \rightarrow (0, \infty)$ , $x \mapsto x^\alpha$

2) Recalling that  $x^{-a} \stackrel{\text{def}}{=} \frac{1}{x^a}$  for  $x \in \mathbb{R}$ ,  $x \neq 0$  and  $a \in \mathbb{Z}$ , prove the following:

- a)  $(x^{\frac{1}{r}})^a = (\sqrt[r]{x})^a = \sqrt[r]{x^a} = (x^a)^{\frac{1}{r}}$ ;    b)  $(x^{\frac{1}{r}})^{-a} = (\sqrt[r]{x})^{-a} = \frac{1}{\sqrt[r]{x^a}} = \frac{1}{(x^a)^{\frac{1}{r}}}$ .

3) Let  $\alpha = \frac{a}{r} \in \mathbb{Q}$ ,  $a \in \mathbb{Z}$ . Define  $f_\alpha : (0, \infty) \rightarrow (0, \infty)$  by  $x \mapsto x^\alpha \stackrel{\text{def}}{=} (x^{\frac{1}{r}})^a = (x^a)^{\frac{1}{r}}$ .

Prove that  $f_\alpha : (0, \infty) \rightarrow (0, \infty)$  satisfies:

- a)  $f_\alpha$  is strictly increasing for  $\alpha > 0$ , strictly decreasing if  $\alpha < 0$ , and  $f_\alpha = 1$  for  $\alpha = 0$ .
- b)  $f_\alpha(x_1 x_2) = (x_1 x_2)^\alpha = x_1^\alpha x_2^\alpha = f_\alpha(x_1) f_\alpha(x_2)$ , i.e.  $f_\alpha$  is multiplicative.
- c)  $(f_\alpha \cdot f_\beta)(x) = x^{\alpha+\beta} = f_{\alpha+\beta}(x)$ , hence  $f_\alpha \cdot f_\beta = f_{\alpha+\beta}$  as maps.
- d)  $f_\alpha(f_\beta(x)) = (x^\alpha)^\beta = x^{\alpha\beta} = (x^\beta)^\alpha = f_\beta(f_\alpha(x))$ , i.e.,  $f_\alpha \circ f_\beta = f_{\alpha\beta} = f_\beta \circ f_\alpha$ .

4) Let  $u \in \mathbb{R}$  and  $x \in \mathbb{R}$ ,  $x > 0$  be given. **Define**  $x^u$  by proving the following:

- a) Let  $(a_n)_n \in \mathcal{S}(\mathbb{Q})$  satisfy  $a_n \rightarrow u$ . Then  $(x^{a_n})_n$  is convergent in  $\mathbb{R}$ .
- b) If  $a_n - b_n \rightarrow 0$  in  $\mathbb{Q}$ , then  $x^{a_n} - x^{b_n} \rightarrow 0$ . **Hence**  $x^u := \lim_{n \rightarrow \infty} x^{a_n}$  is well defined.

[Hint: If  $(a_n)_n$  is monotone, so is  $(x^{a_n})_n$  (WHY). Every  $a_n \rightarrow u$  has a subsequence  $(a_{n_k})_k$  with  $|a_{n_k} - u| < \frac{1}{k}$  (WHY). Since  $x^{\frac{1}{k}} \rightarrow 1$  (WHY), conclude that  $x^{a_n} \rightarrow 1$ . If  $a_n \nearrow u$ ,  $b_n \searrow u$ , get  $\lim_{n \rightarrow \infty} x^{a_n} =: x^u =: \lim_{n \rightarrow \infty} x^{b_n}$  (WHY), etc.

5) Let  $\alpha \in \mathbb{R}$ . Define  $f_\alpha : (0, \infty) \rightarrow (0, \infty)$  by  $x \mapsto x^\alpha$  as defined above. Prove the following:

- a)  $f_\alpha$  is strictly increasing for  $\alpha > 0$ , strictly decreasing if  $\alpha < 0$ , and  $f_\alpha = 1$  for  $\alpha = 0$ .
- b) One has  $f_\alpha(x_1 x_2) = (x_1 x_2)^\alpha = x_1^\alpha x_2^\alpha = f_\alpha(x_1) f_\alpha(x_2)$ , i.e.  $f_\alpha$  is multiplicative.
- c)  $(f_\alpha \cdot f_\beta)(x) = x^{\alpha+\beta} = f_{\alpha+\beta}(x)$ , hence  $f_\alpha \cdot f_\beta = f_{\alpha+\beta}$  as maps.
- d)  $f_\alpha(f_\beta(x)) = (x^\alpha)^\beta = x^{\alpha\beta} = (x^\beta)^\alpha = f_\beta(f_\alpha(x))$ , i.e.,  $f_\alpha \circ f_\beta = f_{\alpha\beta} = f_\beta \circ f_\alpha$ .

**Conclude:** Let  $\alpha\beta = 1$ . Then  $f_\alpha \circ f_\beta(x) = x = f_\beta \circ f_\alpha(x)$  for all  $x \in \mathbb{R}$  (WHY). Therefore,  $f_\alpha$  is bijective for all  $\alpha \neq 0$ , and moreover, its inverse map is  $f_\alpha^{-1} = f_{\frac{1}{\alpha}}$  (WHY).

## The exponential function $\exp_a : \mathbb{R} \rightarrow (0, \infty)$

Let  $a > 0$  be a fixed positive real number. Define  $\exp_a : \mathbb{R} \rightarrow (0, \infty)$  by  $x \mapsto a^x$ .

Note that if  $a = 1$ , then  $a^x = 1 \forall x \in \mathbb{R}$  (WHY). Hence one considers only the cases  $a > 0, a \neq 1$ .

6) Let  $a \in \mathbb{R}_{>0}, a \neq 1$ . Prove the following:

- If  $1 < a$ , then  $\exp_a$  is strictly increasing, i.e.,  $x_1 < x_2$  in  $\mathbb{R}$ , then  $a^{x_1} < a^{x_2}$ .
- If  $0 < a < 1$ , then  $\exp_a$  is strictly decreasing, i.e.,  $x_1 < x_2$  in  $\mathbb{R}$ , then  $a^{x_1} > a^{x_2}$ .
- $\exp_a(x_1 + x_2) = a^{x_1+x_2} = a^{x_1}a^{x_2} = \exp_a(x_1)\exp_a(x_2)$  for all  $x_1, x_2 \in \mathbb{R}$ .

7) In the above notations, prove the following facts about  $f_\alpha$  and  $\exp_a$  as defined above:

- If  $x_n \rightarrow x$  in  $(0, \infty)$ , then  $f_\alpha(x_n) \rightarrow f_\alpha(x)$  in  $(0, \infty)$ .
- If  $x_n \rightarrow x$  in  $\mathbb{R}$ , then  $\exp_a(x_n) \rightarrow \exp_a(x)$  in  $(0, \infty)$ .

[Hint For  $x_n \rightarrow x$ , choose  $u_n, v_n \rightarrow x$  with  $u_n, v_n \in \mathbb{Q}, u_n \leq x_n \leq v_n$ . Conclude by using that  $f_\alpha$  and  $\exp_a$  are monotone, and applying the Squeeze Thm, etc. ...]

8) Let  $\varphi : \mathbb{R} \rightarrow \mathbb{R}$  be a non-zero map which is compatible with addition & multiplication, i.e.,  $\forall x, y \in \mathbb{R}$  one has:  $\varphi(x+y) = \varphi(x) + \varphi(y)$ ,  $\varphi(xy) = \varphi(x)\varphi(y)$  and  $\exists x \in \mathbb{R}$  s.t.  $\varphi(x) \neq 0$ . **Prove** the following *surprising and interesting* fact:  $\varphi(x) = x$  for all  $x \in \mathbb{R}$ .

[Hint: Go through the following steps:

- $\varphi(0) = 0$  and  $\varphi(1) = 1$ . Further,  $\varphi(-x) = -\varphi(x)$ ,  $\varphi(1/x) = 1/\varphi(x)$  if  $x \neq 0$ .
- $\varphi(a) = a$  for all  $a \in \mathbb{Z}$ , hence  $\varphi(a/r) = a/r$  for all  $a/r \in \mathbb{Q}$ .
- $\varphi$  is compatible with the ordering  $\leq$  of  $\mathbb{R}$ , i.e.,  $x \leq y$  iff  $\varphi(x) \leq \varphi(y)$ .
- Finally *prove that*  $\varphi(x) = x$  for all  $x \in \mathbb{R}$ .

To a):  $0 + 0 = 0 \Rightarrow \varphi(0) + \varphi(0) = \varphi(0)$ , etc;  $x \cdot 1 = x \Rightarrow \varphi(x)\varphi(1) = \varphi(x)$ , thus  $\varphi(x) \neq 0 \Rightarrow \varphi(1) \neq 0$ , etc;

$1 \cdot 1 = 1 \Rightarrow \varphi(1) \cdot \varphi(1) = \varphi(1)$ , etc;  $x + (-x) = 0 \Rightarrow \varphi(-x) = -\varphi(x)$  (WHY),  $x(1/x) = 1 \Rightarrow \varphi(\frac{1}{x}) = \frac{1}{\varphi(x)}$  (WHY), etc.

To b): Since  $\varphi(1) = 1$ , prove by induction that  $\varphi(n) = n$ , hence  $\varphi(-n) = -n$  (WHY), and  $\varphi(\pm \frac{m}{k}) = \pm \frac{m}{k} \forall m, k \in \mathbb{N}_{>0}$  (WHY).

To c): Use that  $x > 0$  iff  $x = y^2$  for some  $y \in \mathbb{R}$ , etc.

To d): For  $x \in \mathbb{R}$ , let  $(x_n)_n, (y_n)_n \in \mathcal{S}(\mathbb{Q})$  with  $x_n \leq x \leq y_n \forall n$  and  $x_n \rightarrow x \leftarrow y_n$ . Then  $x_n \leq \varphi(x) \leq y_n$  for all  $n$  (WHY), etc.]

## Have fun!

Which of the following series converges in  $\mathbb{R}$ , and if so, what is the sign of the represented number:

- The harmonic series:  $\sum_{n>0} \frac{1}{n}$ ; Leibniz alternating series:  $\sum_{n>0} \frac{(-1)^n}{n}$
- $\zeta(2) = \sum_{n>0} \frac{1}{n^2}$ ;
- $\sin(1) = \sum_n \frac{(-1)^n}{(2n+1)!}$ ;  $\cos(1) = \sum_n \frac{(-1)^n}{(2n)!}$ .
- $(\frac{3}{2})^\alpha = \sum_n \binom{\alpha}{n} \frac{1}{2^n}$ . More general,  $(1+z)^\alpha = \sum_n \binom{\alpha}{n} z^n$  for  $z \in \mathbb{R}$ .

[Here, by definitions,  $\binom{\alpha}{0} \stackrel{\text{def}}{=} 1$ , and  $\binom{\alpha}{n} \stackrel{\text{def}}{=} \frac{\alpha \dots (\alpha - n + 1)}{n!}$  for  $n > 0$ .]