

1 Diagonal asymptotics for symmetric rational 2 functions via ACSV

3 **Yuliy Baryshnikov**¹

4 University of Illinois, Department of Mathematics
5 273 Altgeld Hall 1409 W. Green Street (MC-382), Urbana, IL 61801, USA
6 ymb@illinois.edu

7 **Stephen Melczer**²

8 University of Pennsylvania, Department of Mathematics
9 209 South 33rd Street, Philadelphia, PA 19104, USA
10 smelczer@sas.upenn.edu

11  <https://orcid.org/0000-0002-0995-3444>

12 **Robin Pemantle**³

13 University of Pennsylvania, Department of Mathematics
14 209 South 33rd Street, Philadelphia, PA 19104, USA
15 pemantle@math.upenn.edu

16 **Armin Straub**⁴

17 University of South Alabama, Department of Mathematics and Statistics
18 411 University Blvd N, MSPB 325, Mobile, AL 36688, USA
19 straub@southalabama.edu

20  <https://orcid.org/0000-0001-6802-6053>

21 — Abstract —

22 We consider asymptotics of power series coefficients of rational functions of the form $1/Q$ where
23 Q is a symmetric multilinear polynomial. We review a number of such cases from the literature,
24 chiefly concerned either with positivity of coefficients or diagonal asymptotics. We then ana-
25 lyze coefficient asymptotics using ACSV (Analytic Combinatorics in Several Variables) methods.
26 While ACSV sometimes requires considerable overhead and geometric computation, in the case
27 of symmetric multilinear rational functions there are some reductions that streamline the ana-
28 lysis. Our results include diagonal asymptotics across entire classes of functions, for example the
29 general 3-variable case and the Gillis-Reznick-Zeilberger (GRZ) case, where the denominator in
30 terms of elementary symmetric functions is $1 - e_1 + ce_d$ in any number d of variables. The ACSV
31 analysis also explains a discontinuous drop in exponential growth rate for the GRZ class at the
32 parameter value $c = (d - 1)^{d-1}$, previously observed for $d = 4$ only by separately computing
33 diagonal recurrences for critical and noncritical values of c .

34 **2012 ACM Subject Classification** Mathematics of computing → Combinatorics

35 **Keywords and phrases** Analytic combinatorics, Generating function, Coefficient, Lacuna, Posit-
36 ivity, Morse theory, D-finite, Smooth point

37 **Digital Object Identifier** 10.4230/LIPIcs.AofA.2018.12

¹ Partially supported by NSF grant DMS-1622370

² Partially supported by an NSERC postdoctoral fellowship and NSF grant DMS-1612674

³ Partially supported by NSF grant DMS-1612674

⁴ Partially supported by a Simons Collaboration Grant



38 **Acknowledgements** Thanks to the Erwin Schrödinger Institute, at which this work was begun.
 39 Thanks also to Petter Brändén for help with Lemma 15.

40 **1 Introduction**

We study the power series coefficients of rational functions of the form $F(x_1, \dots, x_d) = 1/Q(x_1, \dots, x_d)$ where Q is a symmetric multilinear function with $Q(\mathbf{0}) \neq 0$. Let

$$F(\mathbf{x}) = \frac{1}{Q(\mathbf{x})} = \sum_{\mathbf{r} \in \mathbb{Z}^d} a_{\mathbf{r}} \mathbf{x}^{\mathbf{r}},$$

41 converging in some polydisk $\mathcal{D} \subset \mathbb{C}^d$. Often one focuses on the diagonal coefficients
 42 $\delta_n := a_{n, \dots, n}$, whose univariate generating function $\text{diag}_F(z) := \sum_n \delta_n z^n$ satisfies a linear
 43 differential equation with polynomial coefficients, but may be transcendental. A number of
 44 questions are natural, including nonnegativity (are all coefficients nonnegative), eventual
 45 nonnegativity (all but finitely many coefficients nonnegative), diagonal extraction (computing
 46 diag_F from Q), diagonal asymptotics, multivariate asymptotics and phase transitions in the
 47 asymptotics of $\{a_{\mathbf{r}}\}$.

48 The positivity (nonnegativity) question is the most classical, dating back at least to
 49 Szegő's work in [26]. The techniques, some of which are indicated in the next section, used
 50 in the literature are diverse and include integral methods and special functions, positivity
 51 preserving operators, combinatorial identities, computer algebra such as cylindrical algebraic
 52 decomposition, or determinantal methods. Contrasting to these methods are analytic
 53 combinatorial several-variable methods (ACSV) as developed in [20]. These are typically
 54 asymptotic, rather than exact, and therefore less useful for proving classical positivity
 55 statements, though they can be used to disprove them. Their chief advantages are their
 56 broad applicability and, increasingly, the level to which they have been automated. Our
 57 aim in this paper is to apply ACSV methods to a number of previously studied families of
 58 rational coefficient sequences, thereby extending what is known as well as illuminating the
 59 relative advantages of each method.

60 **1.1 Previously studied instances**

61 Let \mathcal{M}_d denote the class of symmetric functions of d variables that are multilinear (degree 1
 62 in each variable). This class of generating functions $F(\mathbf{x}) := 1/Q(\mathbf{x})$ where $Q \in \mathcal{M}_d$ includes
 63 a great number of previously studied cases, some of which we now review. Here and in the
 64 following, we use d for the number of variables and boldface $\mathbf{x}, \mathbf{y}, \mathbf{z}$, etc., for vectors of length
 65 d of integer, real or complex numbers. When d is small we use x, y, z, w for x_1, x_2, x_3, x_4 .
 66 Let $e_k = e_{k,d}$ denote the k^{th} elementary symmetric function of d variables, the sum of all
 67 distinct k element products from the set of d variables. An equivalent description of the class
 68 \mathcal{M}_d is that it contains all linear combinations of $\{e_{k,d} : 0 \leq k \leq d\}$.

69 The Askey-Gasper rational function is

$$70 \quad A(x, y, z) := \frac{1}{1 - x - y - z + 4xyz}, \tag{1}$$

71 which, in the previous notation, is $A(\mathbf{x}) = F(\mathbf{x})$ when $d = 3$ and $Q = 1 - e_1 + 4e_3$. Gillis,
 72 Reznick and Zeilberger [11] deduce positivity of A from positivity of a 4-variate extension
 73 due to Koornwinder [15], for which they give a short elementary proof using a positivity
 74 preserving operation. Gillis, Reznick and Zeilberger also provide an elementary proof of the

75 stronger result by Askey and Gasper [3] that A^β is positive for $\beta \geq (\sqrt{17} - 3)/2 \approx 0.56$, by
 76 deriving a recurrence relation for the coefficients that makes positivity apparent.

77 Specific functions in \mathcal{M}_4 that have shown up in the literature include the Szegő rational
 78 function

$$79 \quad S(x, y, z, w) := \frac{1}{e_3(1-x, 1-y, 1-z, 1-w)} \quad (2)$$

80 as well as the Lewy-Askey function

$$81 \quad L(x, y, z, w) := \frac{1}{e_2(1-x, 1-y, 1-z, 1-w)}, \quad (3)$$

82 which is a rescaled version of $1/Q(\mathbf{x})$ with $d = 4$ and $Q = 1 - e_1 + \frac{2}{3}e_2$. Szegő [26] proved that
 83 (2) is positive. In fact, he showed that $e_{d-1,d}^{-\beta}(1-\mathbf{x})$ is nonnegative if $\beta \geq 1/2$. His proof relates
 84 the power series coefficients to integrals of products of Bessel functions and, among other
 85 ingredients, employs the Gegenbauer–Sonine addition theorem. Scott and Sokal [22] establish
 86 a vast and powerful generalization of this result by showing that, if T_G is the spanning-tree
 87 polynomial of a connected series-parallel graph, then $T_G^{-\beta}(1-\mathbf{x})$ is nonnegative if $\beta \geq 1/2$.
 88 In the simplest non-trivial case, if G is a d -cycle, then $T_G = e_{d-1,d}$, thus recovering Szegő’s
 89 result. Relaxing the condition on β , Scott and Sokal further extend their results to spanning-
 90 tree polynomials of general connected graphs. They do so by realizing that Kirchhoff’s
 91 matrix-tree theorem implies that these polynomials can be expressed as determinants, and
 92 by proving that determinants of this kind are nonnegative. As another consequence of
 93 this determinantal nonnegativity, Scott and Sokal conclude that (3) is nonnegative, thus
 94 answering a question originating with Lewy [2] (with positivity replaced by nonnegativity).
 95 Kauers and Zeilberger [14] show that positivity of the Lewy-Askey rational function (3)
 96 would follow from positivity of the four variable function

$$97 \quad K(x, y, z, w) := \frac{1}{1 - e_1 + 2e_3 + 4e_4}. \quad (4)$$

98 However, the conjectured positivity (or even nonnegativity) of (4) remains open.

99 As noted above, $e_{d-1,d}^{-\beta}(1-\mathbf{x})$ is nonnegative if $\beta \geq 1/2$. The asymptotics of $e_{k,d}^{-\beta}(1-\mathbf{x})$
 100 are computed in [5] for $(k, d) = (2, 3)$. In the cone $2(rs + rt + st) > r^2 + s^2 + t^2$, the
 101 coefficient $a_{r,s,t}$ is asymptotically positive when $\beta > 1/2 = (d - k)/2$ and not when $\beta < 1/2$.
 102 A conjecture of Scott and Sokal that remains open in both directions is that, for general
 103 k and d , the condition $\beta \geq (d - k)/2$ is necessary and sufficient for nonnegativity of the
 104 coefficients of $e_{k,d}^{-\beta}(1-\mathbf{x})$.

105 Gillis, Reznick and Zeilberger [11] consider the family

$$106 \quad F_{c,d}(x_1, \dots, x_d) := \frac{1}{1 - e_1 + ce_d} \quad (5)$$

107 of rational functions, where c is a real parameter. When $c < 0$, the coefficients are trivially
 108 positive, therefore it is usual to assume $c > 0$. Gillis, Reznick and Zeilberger show that $F_{c,3}$
 109 has nonnegative coefficients if $c \leq 4$ (and this condition is shown to be necessary in [23]),
 110 but they conjecture that the threshold for $d \geq 4$ has a different form, namely that $F_{c,d}$ has
 111 nonnegative coefficients if and only if $c \leq d!$. It is claimed in [11], but the proof is omitted
 112 due to its length, that nonnegativity of $F_{d!,d}$ is implied by nonnegativity of the diagonal
 113 power series coefficients. In the cases $d = 4, 5, 6$, Kauers [13] proved nonnegativity of these
 114 diagonal coefficients by applying cylindrical algebraic decomposition (CAD) to the respective
 115 recurrences. On the other hand, it is suggested in [25] that the diagonal coefficients are
 116 eventually positive if $c < (d - 1)^{d-1}$.

117 **1.2 Previous questions and results on diagonals**

118 The diagonal generating function diag_F and the sequence $\delta_n := a_{n,\dots,n}$ it generates have
 119 received special attention. One reason is that the question of multivariate asymptotics in
 120 the diagonal direction is simply stated, whereas the question of asymptotics in all possible
 121 directions requires discussion of different possible phase regimes, a notion of uniformity over
 122 directions, degeneracies when the coordinates are not of comparable magnitudes, and so
 123 forth. Another reason is that there are effective methods for determining diag_F from Q ,
 124 transferring the problem to the familiar univariate realm.

We briefly recall the theory of diagonal extraction. A d -variate power series F is said to be D-finite if the formal derivatives $\{\partial_{\mathbf{r}} F : \mathbf{r} \in (\mathbb{Z}^+)^d\}$ form a finite dimensional vector space over $\mathbb{C}[\mathbf{x}]$. In one variable, this is equivalent to F satisfying a linear differential equation with polynomial coefficients,

$$\sum_{i=0}^k q_i(z) \frac{d^i}{dz^i} F = 0, \quad q_i \in \mathbb{C}[z].$$

125 **► Proposition 1** (D-finite closure under diagonals [17]). *Let $F(\mathbf{x})$ be a D-finite power series.*
 126 *Then $\text{diag}(z) := \sum_n \delta_n z^n$ is D-finite, where $\delta_n := a_{n,\dots,n}$.*

127 When F is a rational function and $d = 2$, it was known that diag is algebraic (and thus
 128 D-finite) at least by the late 1960's [10, 12], and in special cases by Pólya in the 1920's [21].
 129 In the rational function $F(x, y) = P(x, y)/Q(x, y)$ one substitutes $y = 1/x$ and computes a
 130 residue integral to extract the constant coefficient. The basis for Lipshitz' proof was the
 131 realization that the complex integration can be viewed as purely formal. With the advent of
 132 computer algebra this formal D-module computation was automated, with an early package
 133 in Macaulay and more widely used modern implementations in Magma, Mathematica and
 134 Maple. Due to advances in software and processor speed, these computations are often
 135 completable on functions arising in applications. Christol [8] was the first to show that
 136 diagonals of *rational* functions are D-finite.

137 The following relationship between D-finiteness of a univariate function and the existence
 138 of a polynomial recursion satisfied by its coefficient sequence is the result of translating a
 139 formal differential equation into a relation among the coefficients.

► Proposition 2. *The series $f(z) = \sum_{n \geq 0} a_n z^n$ is D-finite if and only if it is polynomially recursive, meaning that there is a $k > 0$ and there are polynomials p_0, \dots, p_k , not all zero, such that for all but finitely many n ,*

$$\sum_{i=0}^k p_i(n) f(n+i) = 0.$$

140 Let f be a D-finite power series in one variable. If f has positive finite radius of convergence
 141 and integer coefficients, then it is a so-called *G-function* and has well behaved asymptotics
 142 according to following result.

143 **► Proposition 3** (Asymptotics of G-Function Coefficients). *Suppose f is D-finite with finite
 144 radius of convergence and integer coefficients annihilated by a minimal order linear differential
 145 operator \mathcal{L} with polynomial coefficients. Then \mathcal{L} has only regular singular points in the
 146 Frobenius sense. Consequently, the coefficients $\{a_n\}$ are given asymptotically by a formula*

$$147 \quad a_n \sim \sum_{\alpha} C_{\alpha} n^{\beta_{\alpha}} \rho_{\alpha}^{-n} (\log n)^{k_{\alpha}} \quad (6)$$

148 where the sum is over quadruples $(C_\alpha, b_\alpha, \rho_\alpha, k_\alpha)$ as α ranges over a finite set A with the
 149 following properties. The base ρ_α is an algebraic number, a root of the leading polynomial
 150 coefficient of \mathcal{L} . The β_α are rational and for each value of ρ_α can be determined as roots
 151 of an explicit polynomial constructed from ρ_α and \mathcal{L} . The log powers k_α are nonnegative
 152 integers, zero unless for fixed ρ_α there exist two values of β_α differing by an integer (including
 153 multiplicities in the construction of β_α). The C_α are not in general closed form analytic
 154 expressions, but may be determined rigorously to any desired accuracy.

155 **Proof.** The discussion in [18, page 37] gives references to several published results that
 156 together establish this proposition; see also Flajolet and Sedgewick [9, Section VII. 9].
 157 Determination of all rational and algebraic numbers other than C_α is known to be effective. ◀

158 Because there are computational methods for the study of diagonals, it is of interest to
 159 reduce positivity questions to those involving only diagonals. For the Gillis-Reznick-Zeilberger
 160 class $F_{c,d}$, such a result is conjectured.

161 ▶ **Conjecture 4** ([11]). For $d \geq 4$, the following three statements are equivalent.

- 162 (i) $c \leq d!$
- 163 (ii) The diagonal coefficients of $F_{c,d}$ are nonnegative
- 164 (iii) All coefficients of $F_{c,d}$ are nonnegative

165 To be precise, $(iii) \Rightarrow (ii) \Rightarrow (i)$ is trivial (look at δ_1); nonnegativity of all coefficients of
 166 $F_{c,d}$ holds for some interval $c \in [0, c_{\max}]$, therefore the conjecture comes down to nonnegativity
 167 of $F_{d,d}$. A proof for $(ii) \Rightarrow (iii)$ in the case $c = d!$ is claimed in [11] but omitted from the
 168 paper due to length. This question is generalized in [25] to all of \mathcal{M}_d .

169 ▶ **Question 5** ([25, Question 1.1 and following]). For $Q \in \mathcal{M}_d$ and $F = 1/Q$, under what
 170 conditions does nonnegativity of the coefficients of diag_F imply nonnegativity of all coefficients
 171 of F ?

172 More specifically, with nonnegativity in place of positivity, the authors of that paper
 173 wonder whether positivity of F is equivalent to positivity of diag_F together with positivity
 174 of $F(x_1, \dots, x_{d-1}, 0)$. They prove that this is true for $d = 2$ and, with additional evidence,
 175 conjecture this to be true for $d = 3$ as well. Combined with [23, Conjecture 1] and [25,
 176 Conjecture 3.3], we obtain the following explicit predictions on the diagonal coefficients.

177 ▶ **Conjecture 6.** Let $F = 1/Q$ where $Q = 1 - e_1 + ae_2 + be_3$, which is, up to rescaling, the
 178 general element of \mathcal{M}_3 . Then diag_F is nonnegative if and only if

$$179 \quad b \leq \begin{cases} 6(1-a) & a \leq a_0 \\ 2-3a+2(1-a)^{3/2} & a_0 \leq a \leq 1 \\ -a^3 & a \geq 1, \end{cases} \quad (7)$$

180 where $a_0 \approx -1.81$ is characterized by $6(1-a_0) = 2-3a_0+2(1-a_0)^{3/2}$.

181 1.3 Present results

182 In the present work we use ACSV to answer asymptotic versions of these questions. Aside
 183 from computing special cases, the main new results are (1) simplification for diagonals with
 184 symmetric denominators via the Grace-Walsh-Szegő Theorem (Lemma 15 below); (2) an
 185 easy further simplification for the Gillis-Reznick-Zeilberger class (Lemma 18 below); and

12:6 Diagonal asymptotics for symmetric rational functions via ACSV

186 (3) a topological computation to explain the drop in magnitude of coefficients at critical
187 parameter values (Theorem 22 below).

188 The first special case we look at is the diagonal of the general element of \mathcal{M}_3 , corresponding
189 to Conjecture 6.

190 ► **Theorem 7.** *Let $Q = 1 - e_1 + ae_2 + be_3$, let $F = 1/Q = \sum_{\mathbf{r}} a_{\mathbf{r}} \mathbf{z}^{\mathbf{r}}$ and let $\delta_n = a_{n,\dots,n}$ be
191 the diagonal coefficients of F . Then δ_n is eventually positive when*

$$192 \quad b < \begin{cases} -9a & a \leq -3 \\ 2 - 3a + 2(1-a)^{3/2} & -3 \leq a \leq 1 \\ -a^3 & a \geq 1 \end{cases} \quad (8)$$

193 while, when the inequality is reversed, δ_n attains an infinite number of positive and negative
194 values.

195 Theorem 7 is obtained by examining asymptotic regimes, captured in the following result.

196 ► **Theorem 8.** *Let Q, F , and δ_n be as in Theorem 7. Assuming that b is not equal to the
197 piecewise function in Equation (8),*

$$198 \quad \delta_n = \sum_{x \in E} \left(\frac{x^{-3n}}{n} \cdot \left| \frac{1 - 2ax - bx^2}{1 - ax} \right| \cdot \frac{1}{2\sqrt{3}(1 - 2x + ax^2)} \right) \left(1 + O\left(\frac{1}{n}\right) \right), \quad (9)$$

199 where E consists of the minimal modulus roots of the polynomial $Q(x, x, x) = 1 - 3x + 3ax^2 +$
200 bx^3 .

201 The situation for eventual positivity on the diagonal when equality holds in Equation (8) is
202 more delicate. When $a < -3$ it follows from seeing that there are two diagonal minimal points,
203 (r, r, r) and $(-r, -r, -r)$, with a greater constant at the positive point. When $-3 < a < 1$, it
204 follows from a dominant positive real cone point. When $a = -3$ a quadratically degenerate
205 smooth point at $(-1/3, -1/3, -1/3)$ may be shown via rigorous numerical diagonal extraction
206 to dominate the cone point at $(1/3, 1/3, 1/3)$, leading to alternation. When $a = 1$, $a_{\mathbf{r}} \equiv 1$.
207 Finally, when $a > 1$, there are three smooth points on the unit circle, with nonnegativity
208 conjectured because the positive real point is degenerate and should dominate.

209 Our second set of results concern the diagonal of the general element of the GRZ rational
210 function $F_{c,d}$. Let

$$211 \quad c_* = c_*(d) := (d-1)^{d-1}. \quad (10)$$

212 The following corresponds to Conjecture 4.

213 ► **Theorem 9.** *Let $d \geq 4$. Then the diagonal coefficients of $F_{c,d}$ are eventually positive when
214 $c < c_*$ and contain an infinite number of positive and negative values when $c > c_*$. When
215 $c < c_*$, there is a conical neighborhood \mathcal{N} of the diagonal such that $a_{\mathbf{r}} > 0$ for all but finitely
216 many $\mathbf{r} \in \mathcal{N}$.*

217 Again, the result is obtained through an explicit asymptotic analysis.

218 ► **Theorem 10.** *Let δ_n be the diagonal coefficients of $F_{c,d}$. Then when $c \neq c_*$,*

$$219 \quad \delta_n = \sum_{x \in E} \left(\frac{x^{-dn}}{n^{(d-1)/2}} \cdot \left(\frac{2\pi(1 - (d-1)r)}{r^{(d-1)/2}} \right)^{(d-1)/2} \cdot \frac{1}{d^{1/2}(1 - (d-1)r)} \right) \left(1 + O\left(\frac{1}{n}\right) \right),$$

220 where E consists of the minimal modulus roots of the polynomial $1/F_{c,d}(x, \dots, x) = 1 - dx +$
221 cx^d .

222 These theorems are proven in Section 4, using ACSV smooth point methods summarized
 223 in Section 2, however the case $c = c_*$ for the GRZ rational function requires the more delicate
 224 results of Section 5.

225 **1.4 Exponential drop and further results**

226 In the GRZ family, for even values of $d \geq 4$ the exponential growth rate of the coefficients
 227 drops at the special value $c = (d - 1)^{d-1}$. This special value, and the corresponding drop
 228 in exponential growth, may be identified for each fixed d from the differential equation
 229 annihilating the diagonal. For example, when $d = 4$ an annihilating differential equation for
 230 the diagonal of $F_{c,4}$ is computed by D-module integration in the Mathematica package of
 231 Koutschan [16] producing the annihilating operator \mathcal{L} , of order 3 and maximum coefficient
 232 degree 8, such that $\mathcal{L}\text{diag}_{F_{c,4}} = 0$:

$$\begin{aligned} \mathcal{L} = & z^2(c^4 z^4 + 4c^3 z^3 + 6c^2 z^2 + 4cz - 256z + 1)(3cz - 1)^2 \partial_z^3 \\ & + 3z(3cz - 1)(6c^5 z^5 + 15c^4 z^4 + 8c^3 z^3 - 6c^2 z^2 - 384cz^2 - 6cz + 384z - 1) \partial_z^2 \\ & + (cz + 1)(63c^5 z^5 - 3c^4 z^4 - 66c^3 z^3 + 18c^2 z^2 + 720cz^2 + 19cz - 816z + 1) \partial_z \\ & + 9c^6 z^5 - 3c^5 z^4 - 6c^4 z^3 + 18c^3 z^2 - 360c^2 z^2 + 13c^2 z - 384cz + c - 24. \end{aligned} \tag{11}$$

234 When $c = 27$, all coefficients in (11) acquire enough zeros at $z = 1/81$ that the quantity
 235 $(81z - 1)^4$ may be factored out of the entire operator, leaving the following operator of order 3
 236 and maximum degree 4:

$$\begin{aligned} \mathcal{L}_{27} := & z^2(81z^2 + 14z + 1) \partial_z^3 + 3z(162z^2 + 21z + 1) \partial_z^2 \\ & + (21z + 1)(27z + 1) \partial_z + 3(27z + 1). \end{aligned} \tag{12}$$

Asymptotics for δ_n may be extracted via the methodology described in Proposition 3. In
 the special case $d = 4, c = 27$, the recursion may be found on the OEIS (entry A125143) and
 identifies $\{\delta_n\}$ as the *Almkvist–Zudilin numbers*⁵ from [1, sequence (4.12)(δ)]. The known
 asymptotic formula implies that $|\delta_n|^{1/n} \rightarrow 9$. However, as $c \neq 27$ approaches 27 from either
 side, we have

$$\lim_{c \rightarrow 27} \lim_{n \rightarrow \infty} |\delta_n|^{1/n} = 81;$$

238 in other words, the growth rate at $c = 27$ drops suddenly from 81 to 9. The occurrence of
 239 a phase change at $(d - 1)^{d-1}$ for all d and drop in exponential rate for even $d \geq 4$ had not
 240 previously been proved. The special role of the case $c = (d - 1)^{d-1}$ was observed in [25,
 241 Example 4.4] and claimed to agree with intuition from hypergeometric functions. We verify
 242 this, first by identifying the singularity from an ACSV point of view and then by checking
 243 that this singularity indeed produces the observed dimension drop.

► **Theorem 11** (exponential growth approaching criticality). *For all $d \geq 2$,*

$$\lim_{c \rightarrow c_*} \limsup_{n \rightarrow \infty} |\delta_n|^{1/(dn)} = d - 1.$$

► **Theorem 12** (dimension drop at criticality). *When $c = c_*$ and $d \geq 4$ is even,*

$$\limsup_{n \rightarrow \infty} |\delta_n|^{1/(dn)} < d - 1.$$

244 Theorem 12 is proved in Section 5.

⁵ That these are the diagonals of the rational function $F_{27,4}$ was observed in [24], where it is further
 conjectured that the coefficients of $F_{27,4}$ satisfy very strong congruences.

2 ACSV

In this section we describe the basic setup for ACSV and state some existing results. Definitions for the topological and geometric quantities used below can be found in Pemantle and Wilson [20]. Throughout this section let $F(\mathbf{z}) = P(\mathbf{z})/Q(\mathbf{z}) = \sum_{\mathbf{r}} a_{\mathbf{r}} \mathbf{z}^{\mathbf{r}}$ denote a rational series in d variables, with P and Q co-prime polynomials. Assume that F has a (finite) positive radius of convergence; that is, $Q(\mathbf{0}) \neq 0$ and P/Q is not a polynomial. Let $\mathcal{V} := \{\mathbf{z} \in \mathbb{C}^d : Q(\mathbf{z}) = 0\}$ denote the singular variety for F and let $\mathcal{M} = (\mathbb{C}^*)^d \setminus \mathcal{V}$ where $\mathbb{C}^* = \mathbb{C} \setminus \{0\}$. Coefficients $a_{\mathbf{r}}$ are extracted via the multivariate Cauchy formula

$$a_{\mathbf{r}} = \frac{1}{(2\pi i)^d} \int_{\mathbf{T}} \mathbf{z}^{-\mathbf{r}} F(\mathbf{z}) \frac{d\mathbf{z}}{\mathbf{z}}, \quad (13)$$

where $d\mathbf{z}/\mathbf{z}$ denotes the holomorphic logarithmic volume form $(dz_1/z_1) \wedge \cdots \wedge (dz_d/z_d)$ and \mathbf{T} denotes a small torus (a product of sufficiently small circles about the origin in each coordinate, so that the product of the corresponding disks is disjoint from \mathcal{V}). The fundamental insight of ACSV is that the integral depends only on the homology class of \mathbf{T} in $H_d(\mathcal{M})$. Therefore, one tries to replace \mathbf{T} by some homologous chain \mathcal{C} over which the integral is easier, typically via some combination of residue reductions and saddle point estimates.

A *direction* of asymptotics is an element $\hat{\mathbf{r}} \in (\mathbb{RP}^d)^+$; that is, a projective vector in the positive orthant. If $\mathbf{r} \in (\mathbb{R}^d)^+$ we write $\hat{\mathbf{r}}$ to denote the representative $\mathbf{r}/|\mathbf{r}|$ of the projective equivalence class containing \mathbf{r} , where $|\mathbf{r}| = |\mathbf{r}|_1 := r_1 + \cdots + r_d$. Given a Whitney stratification of \mathcal{V} into smooth manifolds, the *critical set* $\text{crit}(\hat{\mathbf{r}})$ for a direction $\hat{\mathbf{r}}$ is the set of $\mathbf{z} \in \mathcal{V}$ such that $\hat{\mathbf{r}}$ is orthogonal to the tangent space of the stratum of \mathbf{z} in \mathcal{V} . If \mathbf{z} is a smooth point of \mathcal{V} and Q is square-free, this means $\hat{\mathbf{r}}$ should be parallel to the logarithmic gradient $(z_1 \partial Q / \partial z_1, \dots, z_d \partial Q / \partial z_d)$. A *minimal* point for direction $\hat{\mathbf{r}}$ is a point $\mathbf{z} \in \text{crit}(\hat{\mathbf{r}})$ such that the open polydisk $\mathcal{D}(\mathbf{z}) := \{\mathbf{w} : |w_j| < |z_j| \forall 1 \leq j \leq d\}$ does not intersect \mathcal{V} . The minimal point \mathbf{z} is called *strictly minimal* if the closed polydisk $\overline{\mathcal{D}(\mathbf{z})}$ intersects \mathcal{V} only at \mathbf{z} .

For any $\beta \in \mathbb{R}^d$, let $\mathbf{T}(\beta) = \{\mathbf{w} : |w_j| = \exp(\beta_j) \forall 1 \leq j \leq d\}$ denote the torus of points with log modulus vector β . The *amoeba* of $Q(\mathbf{z})$ is the image of \mathcal{V} under the map $\text{Relog}(\mathbf{z}) = (\log |z_1|, \dots, \log |z_d|)$, while the *height* of a point \mathbf{z} is $h_{\hat{\mathbf{r}}}(\mathbf{z}) = -\hat{\mathbf{r}} \cdot \text{Relog}(\mathbf{z})$. Except in Section 5, all ACSV computations are based on the following result.

► **Theorem 13** (smooth point formula). *Fix $F = P/Q = \sum_{\mathbf{r}} a_{\mathbf{r}} \mathbf{z}^{\mathbf{r}}$ and vector $\mathbf{r} \in (\mathbb{R}^d)^+$ in direction $\hat{\mathbf{r}}$. Assume there exists $\beta \in \mathbb{R}^d$ such that the following two hypotheses hold.*

1 Finite critical points on the torus. *The set $E := \mathbf{T}(\beta) \cap \text{crit}(\hat{\mathbf{r}})$ is finite, nonempty and contains only minimal smooth points.*

2 Quadratic nondegeneracy. *At each $\mathbf{z} \in E$ fix $k = k(\mathbf{z})$ such $\partial Q / \partial z_k(\mathbf{z}) \neq 0$ and let $z_k = g(z_1, \dots, \hat{z}_k, \dots, z_d)$ be a smooth local parametrization of z_k on \mathcal{V} as a function of $\{z_j : j \neq k\}$. We assume that the Hessian determinant $\mathcal{H}_{k(\mathbf{z})}$ of second partial derivatives of $g(w_1 e^{i\theta_1}, \dots, w_d e^{i\theta_d})$ with respect to the θ_j at the origin is non-zero for each $\mathbf{z} \in E$.*

Then there exists a closed neighborhood \mathcal{N} of $\hat{\mathbf{r}}$ in $(\mathbb{R}^d)^+$ on which all the above hypotheses hold and, for any \mathbf{r} with $\hat{\mathbf{r}}$ in this neighborhood,

$$a_{\mathbf{r}} = (2\pi)^{(1-d)/2} \sum_{\mathbf{z} \in E} \det \mathcal{H}_{k(\mathbf{z})}^{-1/2} \frac{P(\mathbf{z})}{z_k (\partial Q / \partial z_k)(\mathbf{z})} r_k^{(1-d)/2} \mathbf{z}^{-\mathbf{r}} + O\left(r_k^{-d/2} \mathbf{z}^{-\mathbf{r}}\right). \quad (14)$$

► **Remark.** *A number of other formulae for $a_{\mathbf{r}}$ are equivalent to this one and hold under the same hypotheses. An explicit formula for \mathcal{H}_k in terms of partial derivatives of Q is given*

286 in [18, Theorem 54]. The following coordinate-free formula for the constants involved in
 287 terms of the complexified Gaussian curvature \mathcal{K} at a smooth point $\mathbf{z} \in \mathcal{V}$ is given in [20,
 288 (9.5.2)] as

$$289 \quad a_{\mathbf{r}} = (2\pi)^{(1-d)/2} \left[\sum_{\mathbf{z} \in E} \mathcal{K}(\mathbf{z})^{-1/2} |\nabla_{\log Q}(\mathbf{z})|^{-1} P(\mathbf{z}) |\mathbf{r}|^{(1-d)/2} \mathbf{z}^{-\mathbf{r}} \right] + O\left(|\mathbf{r}|^{-d/2} |\mathbf{z}|^{-\mathbf{r}}\right) \quad (15)$$

290 **Proof.** Assume first that $\log |\mathbf{w}|$ is the unique minimizer of $\mathbf{r} \cdot \mathbf{x}$ on the boundary of the log
 291 domain of convergence (this being a component of the complement of the amoeba). Under no
 292 assumptions on E or \mathcal{K} , Theorem 9.3.2 of [20] writes the multivariate Cauchy integral 13 as
 293 the integral of a residue form ω over an intersection cycle, \mathcal{C} . Taking into account that E is
 294 finite, and assuming an extra hypothesis that \mathbf{r} is a *proper direction* (see [5, Definition 2.3]),
 295 Theorem 9.4.2 of [20] identifies \mathcal{C} as a sum of quasi-local cycles near the points of E . For
 296 each such \mathbf{z} , if $\partial Q/\partial z_k$ and $\det \mathcal{H}_k$ do not vanish, Theorem 9.2.7 of [20] identifies the integral
 297 as the corresponding summand in (14). Nonvanishing of \mathcal{H}_k is equivalent to nonvanishing of
 298 \mathcal{K} , leading to the coordinate-free formula (15), which may be found in [20, Theorem 9.3.7].
 299 This proves the theorem under an extra hypothesis on the amoeba boundary.

300 To remove the properness hypothesis, consider the intersection cycle \mathcal{C} obtained from
 301 expanding the torus $\mathbf{T}(\boldsymbol{\beta} - \epsilon \mathbf{r})$ inside the domain of convergence of F to a torus $\mathbf{T}(\boldsymbol{\beta} + \epsilon \mathbf{r})$.
 302 The construction in [20, Section A4] gives a compact $(d - 1)$ -chain representing a relative
 303 cycle in $H_{d-1}(\mathcal{V}^{c+\epsilon}, \mathcal{V}^{c-\epsilon})$; that is, a chain of maximum height $c + \epsilon$ with maximum boundary
 304 height $c - \epsilon$. Applying the downward gradient flow of $h_{\hat{\mathbf{r}}}$ on \mathcal{V} for arbitrarily small time,
 305 we arrive again at a chain satisfying the conclusions of [20, Theorem 9.4.2]. Because the
 306 deformed chain has nonvanishing boundary, one must add a term for the chain swept out by
 307 the deformation applied to this boundary, but the elements of this chain have height at most
 308 $c - \epsilon$ so the resulting integral will grow within the error term above. ◀

309 ▶ **Corollary 14.** Assume the hypotheses of Theorem 13, and fix a vector \mathbf{v} in direction $\hat{\mathbf{r}}$.

- 310 (i) If $E = \{\mathbf{z}\}$ for some \mathbf{z} in the positive real orthant in \mathbb{C}^d and the leading constant of
 311 Equation (14) is positive, then there exists a neighbourhood of $\hat{\mathbf{r}}$ such that all but finitely
 312 many coefficients $\{a_{\mathbf{r}} : \hat{\mathbf{r}} \in \mathcal{N}\}$ are positive.
- 313 (ii) If $E = \{\mathbf{z}\}$ for some \mathbf{z} such that $\mathbf{z}^{\mathbf{v}} := \prod_{j=1}^d z_j^{v_j}$ is positive real and the leading constant
 314 of Equation (14) is positive, then all but finitely many coefficients $a_{n\mathbf{v}}$ are positive.
- 315 (iii) If E does not contain a point \mathbf{z} with $\mathbf{z}^{\mathbf{v}}$ positive real and the sum in Equation (14) is
 316 not identically zero, then infinitely many coefficients $a_{n\mathbf{v}}$ are positive and infinitely
 317 many $a_{n\mathbf{v}}$ are negative.

318 ▶ **Remark.** When E contains a point in the positive real orthant but it is not a singleton,
 319 the corollary does not provide information as to eventual positivity.

320 **Proof.** Conclusions (i) and (ii) follow immediately from (14) because the sum is a single
 321 positive term.

322 For conclusion (iii), grouping the elements of E by conjugate pairs we note that up to
 323 scaling by $\mathbf{z}^{n\mathbf{v}} n^{d/2}$ the asymptotic leading term of $a_{n\mathbf{v}}$ has the form

$$324 \quad l_n = \sum_{i=1}^{|E|} a_i \cos(2\pi\theta_i n + \beta_i),$$

325 where each θ_i, a_i, β_i is real, and $\theta_i \in (0, 1)$. If r_n is any sequence satisfying a linear recurrence
 326 relation with constant coefficients, and $r_n = O(1/n)$, then Bell and Gerhold [6, Section 3]

12:10 Diagonal asymptotics for symmetric rational functions via ACSV

show that $l_n > r_n$ infinitely often. Since the modulus of the error term in Equation (14) can be bounded by a linear recurrence sequence with growth $O(1/n)$, we see that $a_{n\mathbf{v}}$ is positive infinitely often. Repeating the argument with $-l_n$ shows that $a_{n\mathbf{v}}$ is negative infinitely often. \blacktriangleleft

Any computer algebra system can compute the set of smooth critical points in $\text{crit}(\hat{\mathbf{r}})$ by solving the $d - 1$ equations $(\nabla_{\log Q})(\mathbf{z}) \parallel \hat{\mathbf{r}}$ together with the equation $Q(\mathbf{z}) = 0$, where $\nabla_{\log Q} = (z_1 \partial Q / \partial z_1, \dots, z_d \partial Q / \partial z_d)$. Identifying which points in crit are minimal is more difficult, although still effective [19]. For our cases, we can use results about symmetric functions to help with the computations. For any polynomial Q in d variables, let δ^Q denote the codiagonal: the univariate polynomial defined by $\delta^Q(x) = Q(x, \dots, x)$.

► **Lemma 15** (polynomials in \mathcal{M}_d have diagonal minimal points). *Let $F = 1/Q$ with $Q \in \mathcal{M}_d$. Let x be a zero of δ^Q of minimal modulus. Then $\mathbf{x} := (x, \dots, x)$ is a minimal point for F in $\text{crit}(1, \dots, 1)$.*

This follows directly from the classical Grace-Walsh-Szegő Theorem, of which we now sketch a modern proof.

Proof. Let $\alpha_1, \dots, \alpha_k$ be the roots of δ^Q , where $k \leq d$ is the common degree of Q and δ^Q and $|\alpha_1|$ is minimal among $\{|\alpha_j| : j \leq k\}$. For any $\varepsilon > 0$, the polynomial

$$M(\mathbf{x}) := \prod_{j=1}^k (x_j - \alpha_j)$$

has no zeros in the polydisk \mathcal{D} centered at the origin whose radii are $\alpha_1 - \varepsilon$. The symmetrization of M (see [7]) is defined to be the multilinear symmetric function m such that $m(x, \dots, x) = M(x, \dots, x)$. In our case $M(x, \dots, x) = \delta^Q(x)$, and it immediately follows that $m = Q$. By the Borcea-Brändén symmetrization lemma (see [7, Theorem 2.1]), the polynomial Q has no zeros in the polydisk \mathcal{D} . We conclude that the zero \mathbf{x} of Q is a minimal point of F . \blacktriangleleft

3 Symmetric multilinear functions of three variables

In this section we determine the diagonal asymptotics for general $Q = 1 - e_1 + ae_2 + be_3 \in \mathcal{M}_3$. Taking the coefficient of e_1 to be 1 loses no generality because of the rescaling $x_j \rightarrow \lambda x_j$ which preserves \mathcal{M}_d and affects coefficient asymptotics in a trivial way. In order to use Theorem 13, we begin by identifying minimal points. Lemma 15 dictates that our search should be on the diagonal.

To that end, let $\delta^Q(x) = Q(x, x, x) = 1 - 3x + 3ax^2 + bx^3$. The discriminant of δ^Q is a positive real multiple of $p(a, b) := 4a^3 - 3a^2 + 6ab + b^2 - 4b = (a - 1 + 3(b - 1))^2 - 4(b - 1)^3$, and the zero set of δ^Q is obtained from that of the cubic $4b^3 = -a^2$ by centering at $(1, -1)$ and shearing via $(a, b) \mapsto (a + 3b, b)$. The discriminant $p(a, b)$ vanishes along the red curve (solid and dashed) in Figure 1. Let $r_1(a)$ and $r_2(a)$ denote respectively the upper and lower branches of the solution to $p(a, b) = 0$.

► **Lemma 16.** *Let p be a minimal modulus root of δ^Q . Then any critical point of $1/Q$ on the torus $T(p, p, p)$ has the form (q, q, q) where $\delta^Q(q) = 0$.*

Proof. Gröbner basis computations show nondiagonal critical points to be permutations of $\left(\frac{1}{a}, \frac{1}{a}, \frac{a(1-a)}{a^2+b}\right)$, occurring when $b = a^2(a - 2)$. When $a \leq 1$, the only time the positive root

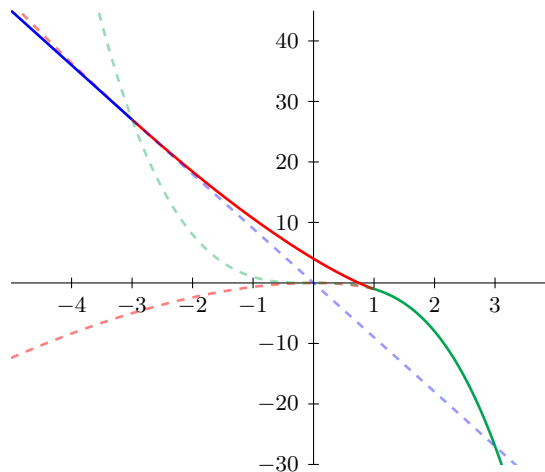


Figure 1 The three regimes defined by Proposition 17, made up of the curves $b = -9a$, $p(a, b) = 0$, and $b = -a^3$. Dashed lines represent the curves where they do not determine positivity of coefficients; note smoothness in the transitions between regimes.

364 of $\delta^Q(x)$ has modulus $1/|a|$ is the trivial case $(a, b) = (1, -1)$. When $b = a^2(a - 2)$ and $a > 1$,
 365 the modulus of the product of the roots of $\delta^Q(x)$ equals $\frac{1}{a^2(a-2)}$ and the minimal roots of
 366 $\delta^Q(x)$ are a pair of complex conjugates. If this pair has modulus $1/a$, then the real root of
 367 $\delta^Q(x)$ is $\pm \frac{1}{a^4(a-2)}$, but $\delta^Q\left(\pm \frac{1}{a^4(a-2)}\right) \neq 0$ for $a > 1$. ◀

368 Determining asymptotics is thus a matter of determining the minimal modulus roots
 369 of $\delta^Q(x)$. The following may be proved by comparing moduli of roots, separating cases
 370 according to the sign of $p(a, b)$.

▶ **Proposition 17.** *The function δ^Q has a minimal positive real zero if and only if*

$$b \leq \begin{cases} -9a & a \leq -3 \\ r_1(a) & -3 \leq a \leq 1 \\ -a^3 & a \geq 1 \end{cases}$$

371 *This corresponds to the set of points lying on and below the solid curve in Figure 1.*

372 **Proof of Theorems 7 and 8:** Suppose b is greater than the piecewise expression in the
 373 proposition; then δ^Q has no minimal positive zero, so the product of the three coordinates
 374 of the minimal points determined above do not lie in the positive orthant. By part (iii) of
 375 Corollary 14, the diagonal coefficients are not eventually positive. Asymptotics of δ_n are
 376 determined by Theorem 13, and when b is less than the piecewise expression it can be verified
 377 that the dominant term is positive. ◀

378 4 The Gillis-Reznick-Zeilberger classes

379 Throughout this section, let $F = F_{c,d} = 1/Q_{c,d} = 1/(1 - e_1 + ce_d)$ and recall that $c_* =$
 380 $(d - 1)^{d-1}$. Lemma 15 implies that for $Q \in \mathcal{M}_d$, in the diagonal direction, one may find
 381 diagonal minimal points. For $F_{c,d}$, things are even simpler: all critical points for diagonal
 382 asymptotics are diagonal points.

383 ▶ **Lemma 18.** *Let $F_{c,d} = 1/Q_{c,d}$. If $\mathbf{z} \in \text{crit}(1, \dots, 1)$ then $z_i = z_j$ for all $1 \leq i, j \leq d$.*

384 **Proof.** From $Q = Q_{c,d} = 1 - e_1 + ce_d$ we see that $(\nabla_{\log Q})_j = -z_j - ce_d$ and hence that
 385 $(\nabla_{\log Q})_i = (\nabla_{\log Q})_j$ if and only if $z_i = z_j$. ◀

386 ▶ **Proposition 19** (Smoothness of $F_{c,d}$ for $c \neq c_*$). *Let $F_{c,d} = 1/Q_{c,d}$. If $c \neq c_*$ then \mathcal{V} is*
 387 *smooth. If $c = c_*$ then \mathcal{V} fails to be smooth at the single point $\mathbf{z}_* = (1/(d-1), \dots, 1/(d-1))$.*
 388 *When $c = c_*$, the singularity at \mathbf{z}_* has tangent cone e_2 .*

389 **Proof.** Checking smoothness of \mathcal{V} we observe that for d fixed and c and x_1, \dots, x_d variable,
 390 vanishing of the gradient of $Q_{c,d}$ with respect to the x variables implies $x_j = ce_d$ for all j .
 391 This common value, x , cannot be zero, hence $x_j \equiv x$ and $c = x^{1-d}$. Vanishing of $Q_{c,d}$ then
 392 implies vanishing of $1 - dx + x$, hence $x = 1/(d-1)$ and $c = c_*$. This proves the first two
 393 statements. Setting $c = c_*$ and $x_j = 1/(d-1) + y_j$ centers $Q_{c_*,d}$ at the singularity and
 394 produces a leading term of $(d-1)e_2(\mathbf{y})$, proving the third statement. ◀

395 4.1 Proof of Theorems 9 and 10 in the case $c < c_*$

396 When $c \leq 0$, the denominator of $F_{c,d}$ is one minus the sum of positive monomials, which
 397 leaves no doubt as to positivity. Assume, therefore, that $0 < c < c_*$. Apply Lemma 15 to
 398 see that if x is a minimum modulus zero of $\delta^Q := Q_{c,d}(x, \dots, x)$ then (x, \dots, x) is a minimal
 399 point for $F_{c,d}$ in the diagonal direction. Apply Lemma 18 to conclude that the set E in
 400 Theorem 13 of minimal critical points on $\mathbf{T}(|x|, \dots, |x|)$ consists only of points (y, \dots, y) such
 401 that y is a root of δ^Q . By part (i) of Corollary 14, it suffices to check that $\delta^Q = 1 - dx + cx^d$
 402 has a unique minimal modulus root ρ and that $\rho \in \mathbb{R}^+$. Thus, the conclusion follows from
 403 the following proposition.

404 ▶ **Proposition 20.** *For $c \in (0, c_*)$, the polynomial $\delta^Q = 1 - dx + cx^d$ has a root $\rho \in \left[\frac{1}{d}, \frac{1}{d-1}\right]$*
 405 *which is the unique root of δ^Q of modulus less than $1/(d-1)$.*

406 **Proof.** Checking signs we find that $\delta^Q(1/d) = cd^{-d} > 0$ while $\delta^Q(1/(d-1)) = -(d-1)^{-1} +$
 407 $c(d-1)^{-d} < -(d-1)^{-1} + c_*(d-1)^{-d} = 0$, therefore there is at least one root, call it ρ , of
 408 δ^Q in the interval $[1/d, 1/(d-1)]$. On the other hand, when $|z| = 1/(d-1)$, we see that
 409 $|dz| \geq |1 + cz^d|$ and therefore, by applying Rouché's theorem to the functions $-dz$ and $1 + cz^d$,
 410 we see that δ^Q has as many zeros on $|z| < 1/(d-1)$ as does $-dz$: precisely one root, ρ . ◀

411 4.2 Proof of Theorems 9 and 10 in the case $c > c_*$

412 Again, by Lemmas 15 and 18, we may apply part (iii) of Corollary 14 to the set E of points
 413 (y, \dots, y) for all minimal modulus roots y of δ^Q . The result then reduces to the following
 414 proposition.

415 ▶ **Proposition 21.** *For $c > c_*$, the set of minimal modulus roots of the polynomial $\delta^Q =$*
 416 *$1 - dx + cx^d$ contains no point whose d^{th} power is real and positive.*

417 **Proof.** First, if z^d is real then the imaginary part of $\delta^Q(z)$ is equal to the imaginary part of
 418 $-dz$, hence any root z of δ^Q with z^d real is itself real.

419 Next we check that δ^Q has no positive real roots. Differentiating $\delta^Q(x)$ with respect to x
 420 gives the increasing function $d(-1 + cx^{d-1})$ with a unique zero at $c^{-1/(d-1)}$. This gives the
 421 location of the minimum of δ^Q on \mathbb{R}^+ , where the function value is $1 - dc^{-1/(d-1)} + c^{1-d/(d-1)} =$
 422 $1 - (d-1)/c^{1/(d-1)}$ which is positive because $c > (d-1)^{d-1}$.

423 If d is even, δ^Q clearly has no negative real roots, hence no real roots at all, finishing the
 424 proof in this case. If d is odd δ^Q will have a negative real root u , however because d is odd,
 425 the product of the coordinates of (u, \dots, u) is $u^d < 0$. ◀

426 We conjecture that the roots of minimal modulus when $c > c_*$ are always a complex
 427 conjugate pair, however this determination does not affect our positivity results.

428 **4.3 Proof of Theorem 11**

429 When $c < c_*$ we have seen that there is a single real minimal point (ρ_c, \dots, ρ_c) in the diagonal
 430 direction and that $\rho_c \uparrow 1/(d-1)$ as $c \uparrow c_*^-$. The limit from below in Theorem 11 then follows
 431 directly from Theorem 10.

432 For the limit from above, it suffices to show that in the diagonal direction, for c sufficiently
 433 close to c_* and greater, E consists of a single diagonal complex conjugate pair $(\zeta_c, \dots, \zeta_c)$
 434 and $(\bar{\zeta}_c, \dots, \bar{\zeta}_c)$, and that $\bar{\zeta}_c \rightarrow 1/(d-1)$ as $c_* \downarrow c$. First, we check that at $c = c_*$ the unique
 435 minimum modulus root of δ^Q is the doubled root at $1/(d-1)$. For $c = c_*$, the first and third
 436 terms of $\delta^Q = 1 - dz + c_*z^d$ have modulus 1 and $1/(d-1)$ when $|z| = 1/(d-1)$, respectively,
 437 summing to the modulus of the middle term; therefore if $\delta^Q(z) = 0$ and $|z| = 1/(d-1)$ then
 438 the third term is positive real. But then the second term must be positive real too, hence the
 439 unique solution of modulus at most $1/(d-1)$ is $z = 1/(d-1)$. A quick computation shows
 440 the multiplicity to be precisely 2. We know that for $c > c_*$ there are no real roots. Therefore,
 441 as c increases from c_* , the minimum modulus doubled root splits into two conjugate roots,
 442 which, in a neighborhood of c_* , are still the only minimum modulus roots.

443 **5 Lacuna computations**

444 The following theorem is the subject of forthcoming work [4]. Theorem 12 follows immediately
 445 from Theorem 22 below, with the following specifications: $d \geq 4$ and even, $c = c_*$, $k = 1$,
 446 $P = 1$, $Q = Q_{c,d}$, $\mathbf{z}_* = (1/d, \dots, 1/d)$, $\hat{\mathbf{r}} = (1, \dots, 1)$, B is the component of the complement
 447 of the amoeba of Q containing (a, \dots, a) for $a < -\log d$, $\mathbf{x}_* = (-\log d, \dots, -\log d)$, $\mathbf{y}_* = \mathbf{0}$
 448 and \mathcal{N} taken to be the diagonal. Proposition 19 guarantees the correct shape for the tangent
 449 cone to Q at \mathbf{z}_* .

450 **► Theorem 22.** *Suppose $F = P/Q^k$ with P a holomorphic function and Q a real Laurent
 451 polynomial. Fix $\hat{\mathbf{r}} \in \mathbb{R}\mathbb{P}^d$, let B be a component of the complement of the amoeba of Q , let
 452 $\sum_{\mathbf{r}} a_{\mathbf{r}} \mathbf{z}^{\mathbf{r}}$ be the Laurent expansion for F convergent for $\mathbf{z} = \exp(\mathbf{x} + i\mathbf{y})$ and $\mathbf{x} \in B$. Let
 453 $\mathbf{x}_* \in \partial B$ be the a maximizing point for $\mathbf{r} \cdot \mathbf{x}$ on ∂B . Assume that \mathcal{V} has a unique singularity
 454 $\mathbf{z}_* = \exp(\mathbf{x}_* + i\mathbf{y}_*)$, and that the tangent cone of Q at \mathbf{z} transforms by a real linear map to
 455 $z_d^2 - \sum_{j=1}^{d-1} z_j^2$. Let \mathcal{N} be any closed cone such that \mathbf{x}_* maximizes $\mathbf{r} \cdot \mathbf{x}$ for all $\mathbf{r} \in \mathcal{N}$.*

456 *If $d > 2k$ is even then there is an $\varepsilon > 0$ and a chain Γ contained in the set $\mathcal{V}_\varepsilon := \{\mathbf{z} \in \mathcal{V} :$
 457 $|\mathbf{z}^{-\mathbf{r}}| \leq \exp(-\mathbf{r} \cdot \mathbf{x}_* - \varepsilon|\mathbf{r}|\}$ such that*

458
$$a_{\mathbf{r}} = \int_{\Gamma} \mathbf{z}^{-\mathbf{r}} \frac{P}{Q^k} \frac{d\mathbf{z}}{\mathbf{z}}. \tag{16}$$

459 *In other words, the chain of integration can be slipped below the height of the singular point.*

460 **Sketch of proof:** Expand the torus \mathbf{T} of integration to \mathbf{z}_* and just beyond. The integral (13)
 461 turns into a residue integral over an intersection cycle swept out by the expanding torus; see,
 462 e.g. [20, Appendix A.4]. For small perturbations Q_ε of Q , the residue cycle is the union of a
 463 sphere surrounding \mathbf{z}_* and a hyperboloid intersecting the sphere. As $Q_\varepsilon \rightarrow Q$, this cycle may
 464 be deformed so that the sphere shrinks to a point while the hyperboloid's neck also constricts
 465 to a point. The hyperboloid may then be folded back on itself so that in a neighborhood of
 466 \mathbf{z}_* , the chain vanishes, leaving a chain Γ supported below the height of \mathbf{z}_* . ◀

467 **A** Appendix A: Maple Code

468 Maple worksheets going through the calculations discussed above can be found at <https://github.com/smelczer/SymmetricRationalFunctionsAofA> ; we include the main component of those worksheets, code giving dominant smooth asymptotics, here for archival purposes.

```

472 smoothASM := proc(G, H, vars, pt)
473     local N, i, j, M, HES, C, U, lambda, sbs:
474     N := nops(vars) :
475     # Get the Hessian determinant of the phase implicitly
476     for i from 1 to N do for j from 1 to N do
477         U[i, j] := vars[i] · vars[j] · diff(Q, vars[i], vars[j]) :
478     od: od:
479     lambda := x · diff(Q, x) :
480     for i from 1 to N - 1 do for j from 1 to N - 1 do
481         if i <> j then M[i, j] := 1 + 1/lambda · (U[i, j] - U[i, N] - U[j, N] + U[N, N]) :
482         else M[i, j] := 2 + 1/lambda · (U[i, i] - 2 · U[i, N] + U[N, N]) :
483         fi:
484     od: od:
485     HES := LinearAlgebra[Determinant](Matrix([seq([seq(M[i, j], i = 1..N - 1)], j = 1..N - 1)])) :
486     C := simplify(-G/vars[-1]/diff(H, vars[-1]) · HES^(-1/2) · (2 · Pi)^((1 - N)/2));
487     sbs := seq(vars[j] = pt[j], j = 1..N) :
488     return eval(1/mul(j, j = pt))^n · n^((1 - N)/2) · eval(subs(sbs, C)) :
489 end:

```

491 ——— References ———

- 492 **1** G. Almkvist, D. van Straten, and W. Zudilin. Generalizations of Clausen’s formula and
493 algebraic transformations of Calabi–Yau differential equations. *Proc. Edin. Math. Soc.*,
494 54:273–295, 2011.
- 495 **2** R. Askey and G. Gasper. Certain rational functions whose power series have positive
496 coefficients. *Amer. Math. Monthly*, 79:327–341, 1972.
- 497 **3** R. Askey and G. Gasper. Convolution structures for Laguerre polynomials. *J. D’Analyse*
498 *Math.*, 31:48–68, 1977.
- 499 **4** Y. Baryshnikov, S. Melczer, and R. Pemantle. Asymptotics of multivariate sequences in
500 the presence of a lacuna. In preparation, 2018.
- 501 **5** Y. Baryshnikov and R. Pemantle. Asymptotics of multivariate sequences, part III: quadratic
502 points. *Adv. Math.*, 228:3127–3206, 2011.
- 503 **6** J. P. Bell and S. Gerhold. On the positivity set of a linear recurrence sequence. *Israel J.*
504 *Math.*, 157:333–345, 2007.
- 505 **7** J. Borcea and P. Brändén. The Lee–Yang and Pólya–Schur programs, II: Theory of stable
506 polynomials and applications. *Comm. Pure Appl. Math.*, 62:1595–1631, 2009.

- 507 **8** G. Christol. Diagonales de fractions rationnelles et equations différentielles. In *Study group*
 508 *on ultrametric analysis, 10th year: 1982/83, No. 2*, pages Exp. No. 18, 10. Inst. Henri
 509 Poincaré, Paris, 1984.
- 510 **9** P. Flajolet and R. Sedgewick. *Analytic Combinatorics*. Cambridge University Press, 2009.
 511 URL: <http://algo.inria.fr/flajolet/Publications/books.html>.
- 512 **10** H. Furstenberg. Algebraic functions over finite fields. *J. Algebra*, 7:271–277, 1967.
- 513 **11** J. Gillis, B. Reznick, and D. Zeilberger. On elementary methods in positivity theory. *SIAM*
 514 *J. Math. Anal.*, 14:396–398, 1983.
- 515 **12** M. Hautus and D. Klärner. The diagonal of a double power series. *Duke Math. J.*, 23:613–
 516 628, 1971.
- 517 **13** M. Kauers. Computer algebra and power series with positive coefficients. In *Proc. FPSAC*
 518 *2007*, 2007.
- 519 **14** M. Kauers and D. Zeilberger. Experiments with a positivity-preserving operator. *Exper.*
 520 *Math.*, 17:341–345, 2008.
- 521 **15** T. Koornwinder. Positivity proofs for linearization and connection coefficients of orthogonal
 522 polynomials satisfying an addition formula. *J. London Math. Soc. (2)*, 18(1):101–114, 1978.
- 523 **16** C. Koutschan. HolonomicFunctions (User’s Guide). Technical report, no. 10-01 in RISC
 524 Report Series, University of Linz, Austria, January 2010.
- 525 **17** L. Lipshitz. The diagonal of a D -finite power series is D -finite. *J. Algebra*, 113(2):373–378,
 526 1988.
- 527 **18** S. Melczer. *Analytic combinatorics in several variables: effective asymptotics and lattice*
 528 *path enumeration*. PhD thesis, University of Waterloo, 2017. URL: <https://arxiv.org/abs/1709.05051>.
- 529 **19** S. Melczer and B. Salvy. Symbolic-numeric tools for analytic combinatorics in several vari-
 530 ables. In *Proceedings of the ACM on International Symposium on Symbolic and Algebraic*
 531 *Computation, ISSAC ’16*, pages 333–340, New York, NY, USA, 2016. ACM.
- 532 **20** R. Pemantle and M. Wilson. *Analytic Combinatorics in Several Variables*, volume 340
 533 of *Cambridge Studies in Advanced Mathematics*. Cambridge University Press, New York,
 534 2013.
- 535 **21** G. Pólya. Sur les séries entières, dont la somme est une fonction algébrique. *L’Enseignement*
 536 *Mathématique*, 22:38–47, 1921.
- 537 **22** A. Scott and A. Sokal. Complete monotonicity for inverse powers of some combinatorially
 538 defined polynomials. *Acta Math.*, 213:323–392, 2013.
- 539 **23** A. Straub. Positivity of Szegő’s rational function. *Adv. Appl. Math.*, 41(2):255–264, 2008.
- 540 **24** A. Straub. Multivariate Apéry numbers and supercongruences of rational functions. *Algebra*
 541 *Number Theory*, 8:1985–2008, 2014.
- 542 **25** A. Straub and W. Zudilin. Positivity of rational functions and their diagonals. *J. Approx.*
 543 *Theory*, 195:57–69, 2015.
- 544 **26** G. Szegő. Über gewisse Potenzreihen mit lauter positiven Koeffizienten. *Math. Zeit.*, 37:674–
 545 688, 1933.