

# Topological Quantum Matter

Charles Kane

University of Pennsylvania

Department of Physics and Astronomy

# Topology in Condensed Matter

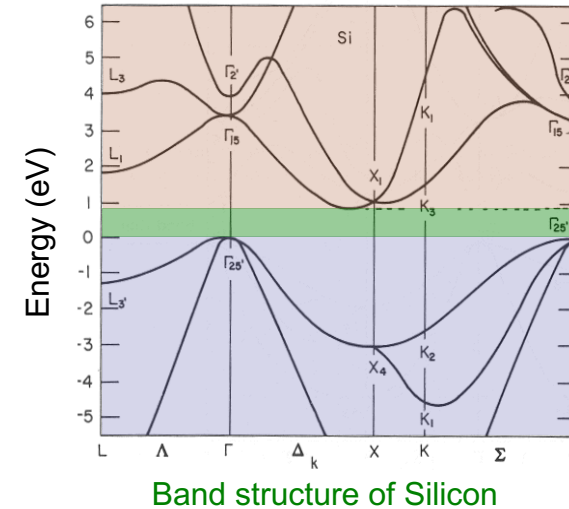
## I. Topological Band Theory

### Band Theory of Solids

- A theory of materials based on single particle quantum mechanics
- Theoretical foundation for modern electronics technology

### Topological Band Structures

- Quantum Hall Effect
- Topological Insulators
- Topological Crystalline Insulators, Topological superconductors, etc.



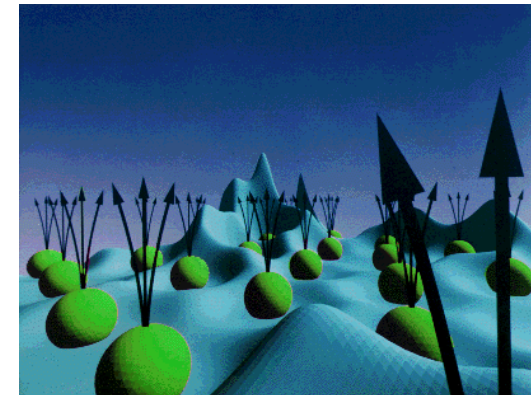
## II. Topological Field Theory

### Effective Field Theory

- A theory of Phases of Matter
- Characterizes emergent properties of interacting many body systems

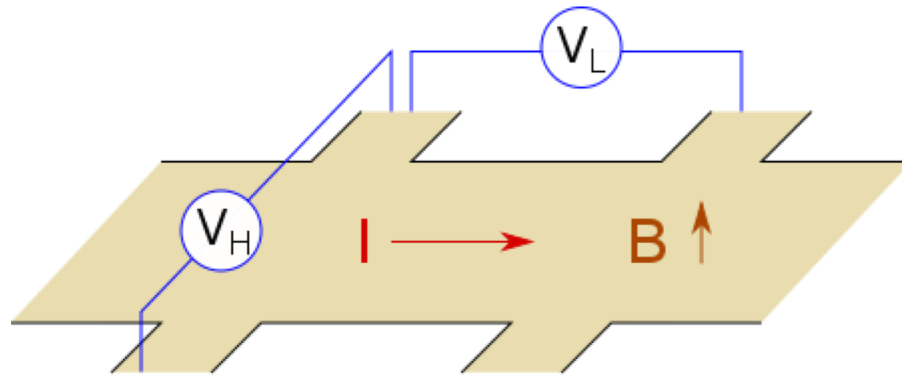
### Topological Phases of Matter

- Fractional Quantum Hall Effect
- Fractional Charge and Statistics
- Topological ground state degeneracies and quantum information

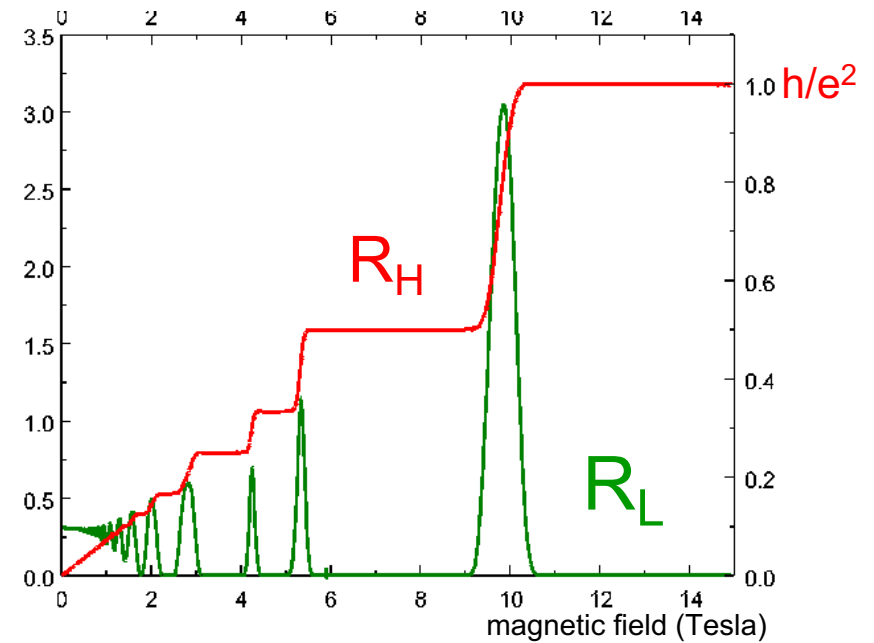


Binding of charge and flux in the fractional quantum Hall effect

# Integer Quantized Hall Effect von Klitzing, Dorda, Pepper 1980



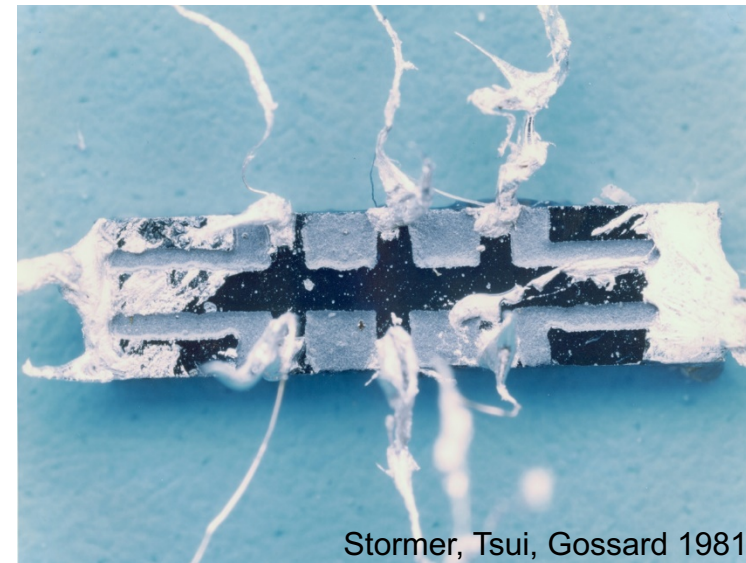
2D electrons in perpendicular magnetic field B



$$\text{Hall Resistance: } R_H = \frac{V_H}{I} = \frac{1}{N} \frac{h}{e^2}$$

$$h/e^2 = 25,812.807\,557 \text{ Ohms}$$

N = integer (measured to 1 part in a billion!)



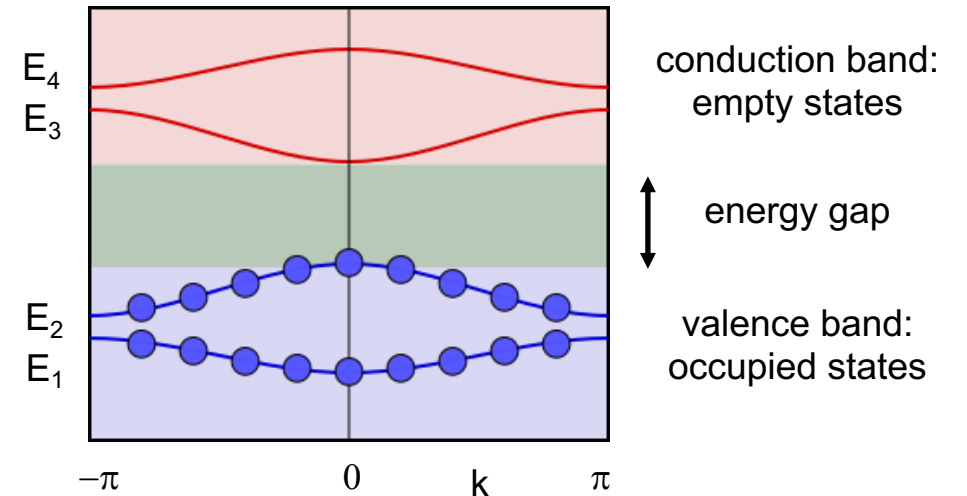
Stormer, Tsui, Gossard 1981

# Topological Band Theory

## Band structure :

Collection of energy eigenvalues  $E_i(\mathbf{k})$  and (complex) eigenvectors  $|u_i(\mathbf{k})\rangle$  of a Hermitian Hamiltonian  $H(\mathbf{k})$  parametrized by momentum  $\mathbf{k} \in T^d$ .

If occupied and empty states are separated by a gap, then the  $N$  occupied bands define a  $U(N)$  vector bundle over the torus  $T^d$ .



$d=2$ : Band structures with a gap are classified by the first Chern class

(aka TKNN invariant: Thouless, Kohomoto, Nightengale and den Nijs, 1984)

$$\mathbf{A}_{ij} = -i \langle u_i | du_j \rangle$$

Berry connection

$$\mathbf{F} = d\mathbf{A} + \mathbf{A} \wedge \mathbf{A}$$

Berry curvature

$$n = \frac{1}{2\pi} \int_{T^2} \text{Tr}[\mathbf{F}] \in$$

1<sup>st</sup> Chern class

Hall Conductivity :  $\sigma_H = n \frac{e^2}{h}$

Trivial Insulator:  $n = 0$

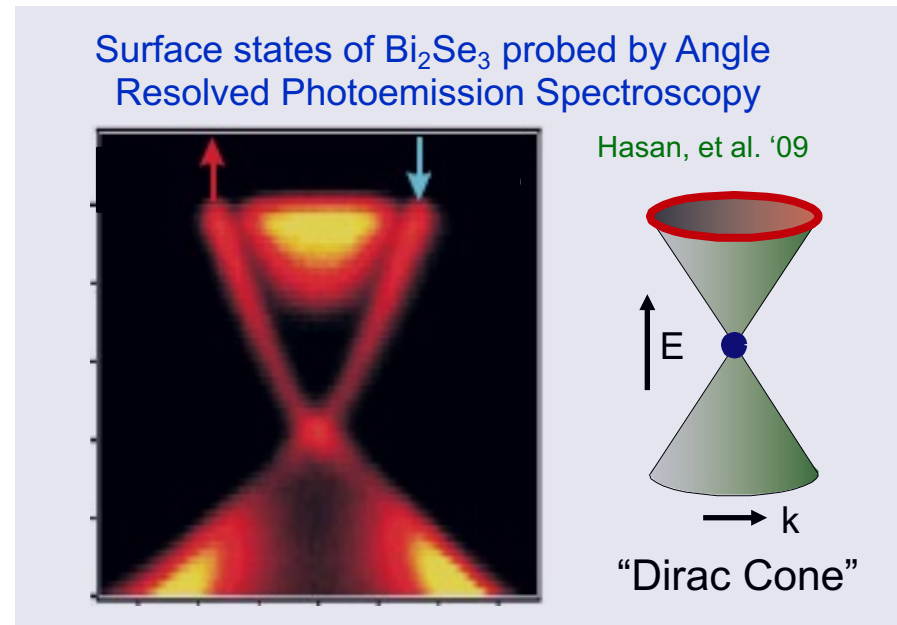
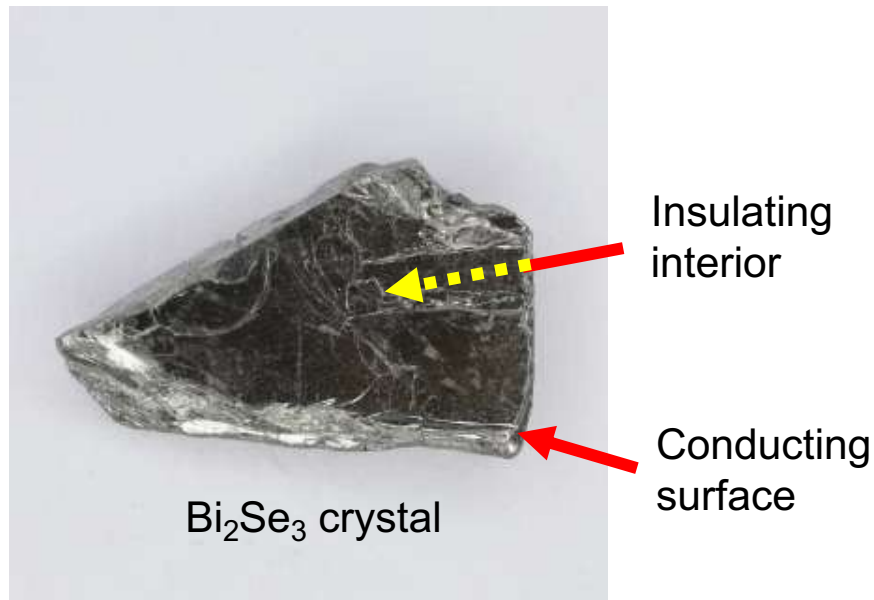
Integer Quantum Hall state:  $n \neq 0$



# Time Reversal Invariant Topological Insulator

Symmetry introduces new topological structures. (Kane, Mele 2005)

- Time reversal symmetry (ie magnetic field  $\mathbf{B}=0$ ):  $H(\mathbf{k}) = \Theta^{-1}H(-\mathbf{k})\Theta$
- Chern number:  $n = 0$
- T- invariant 2D or 3D band structures classified by  $\mathbb{Z}_2$  invariant:  $\nu = 0, 1$
- Non trivial class: Topologically protected conducting surface states



# Chern-Simons form for $Z_2$ invariant

## Dimensional Reduction:

Add an extra parameter,  $k_4$ , that smoothly connects the topological insulator to a trivial insulator (while breaking time reversal symmetry) (Qi, Hughes, Zhang 2008).

$\tilde{H}(\mathbf{k}, k_4)$  characterized by second Chern class

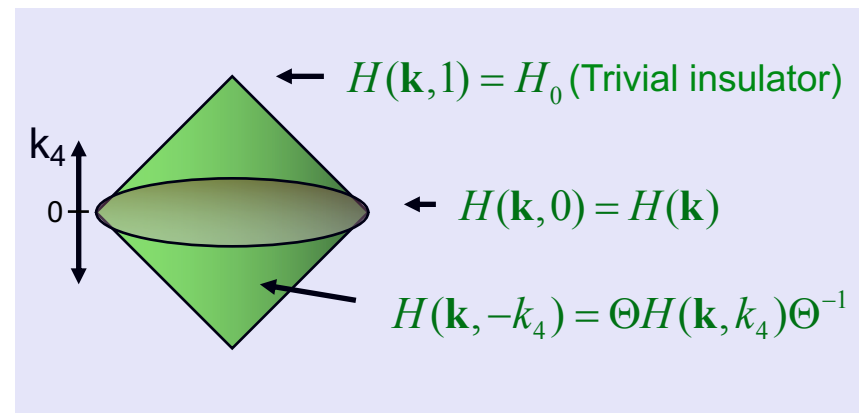
$$n_2 = \frac{1}{8\pi^2} \int d^4k \text{Tr}[\mathbf{F} \wedge \mathbf{F}]$$

$n_2$  depends on how  $H(\mathbf{k})$  is connected to  $H_0$ , but due to time reversal, the difference must be even.

$$\nu = n_2 \pmod{2}$$

Express in terms of Chern-Simons 3-form

$$\begin{aligned} \text{Tr}[\mathbf{F} \wedge \mathbf{F}] &= dQ_3 \\ Q_3(\mathbf{k}) &= \text{Tr}[\mathbf{A} \wedge d\mathbf{A} + \frac{2}{3} \mathbf{A} \wedge \mathbf{A} \wedge \mathbf{A}] \end{aligned}$$



$$\nu = \frac{1}{4\pi^2} \int d^3k Q_3(\mathbf{k}) \pmod{2}$$

Gauge invariant up to an even integer.

# Periodic Table of Topological Insulators and Superconductors

Kitaev, 2008; Schneider, Ryu, Furusaki, Ludwig 2008

## Anti-Unitary Symmetries :

- Time Reversal :  $\Theta H(\mathbf{k})\Theta^{-1} = +H(-\mathbf{k}) ; \Theta^2 = \pm 1$

- Particle - Hole :  $\Xi H(\mathbf{k})\Xi^{-1} = -H(-\mathbf{k}) ; \Xi^2 = \pm 1$

Unitary (chiral) symmetry :  $\Pi H(\mathbf{k})\Pi^{-1} = -H(\mathbf{k}) ; \Pi = \Theta\Xi$

10 = 8 + 2  
symmetry classes

Mathematical framework:  
Real and complex K theory

		symmetry class			dimension							
		$\Theta^2$	$\Xi^2$	$\Pi^2$	$d = 1$	$d = 2$	$d = 3$	$d = 4$	$d = 5$	$d = 6$	$d = 7$	$d = 0$
insulator (no symmetry)	A	0	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$
	AIII	0	0	1	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0	$\mathbb{Z}$	0
superconductor (no symmetry)	AI	1	0	0	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$
	BDI	1	1	1	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$
	D	0	1	0	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$
	DIII	-1	1	1	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$	0
T-invariant superconductor	AII	-1	0	0	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0	$\mathbb{Z}$
T-invariant insulator	CH	-1	-1	1	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0	0
	C	0	-1	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0	0
	CI	1	-1	1	0	0	$\mathbb{Z}$	0	$\mathbb{Z}_2$	$\mathbb{Z}_2$	$\mathbb{Z}$	0

$d \rightarrow d + 2$

Bott periodicity

$d \rightarrow d + 8$

}

Richer (but more fragile) topological structures when, in addition, spatial (space group) symmetries are taken into account.

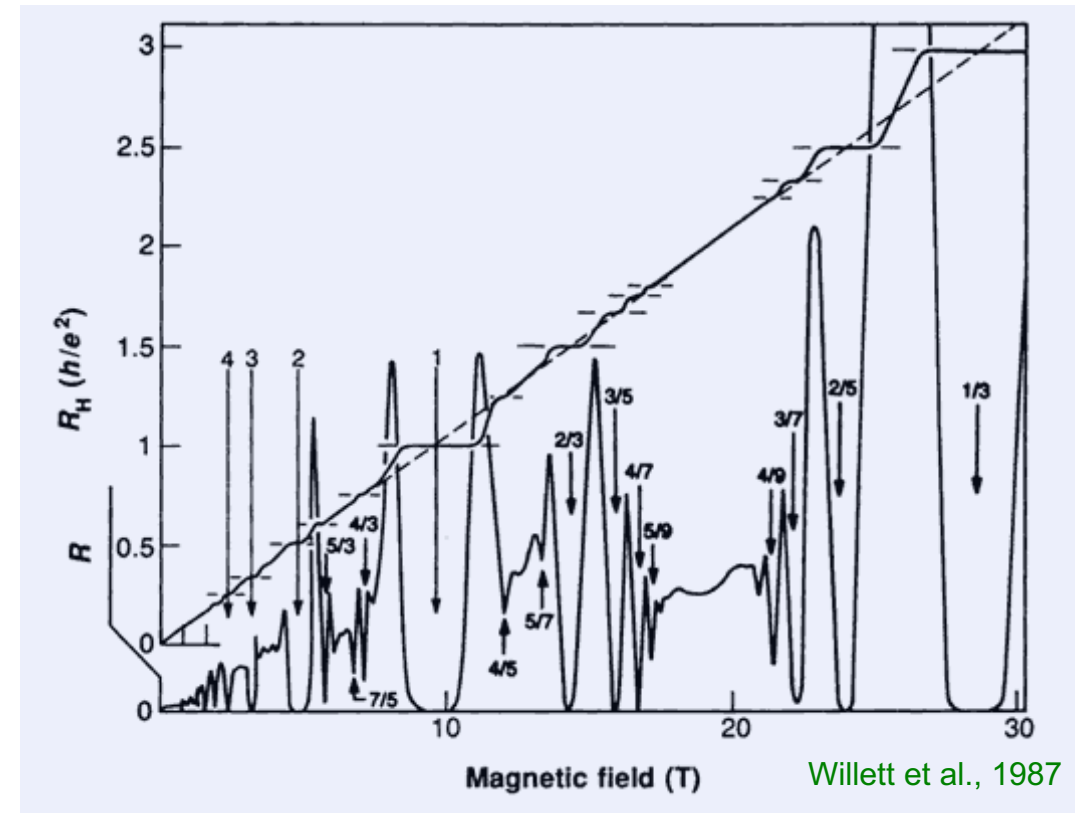
# Fractional Quantized Hall Effect

(Tsui, Stormer, Gossard, 1982)

- Quantized Hall conductance observed at rational fractions :

$$R_H = \frac{1}{\nu} \frac{h}{e^2} \quad \nu = \frac{p}{q}$$

- Can not be explained by band theory (single particle quantum mechanics).
- Initiated a revolution in our understanding of many particle phases of matter.



Laughlin State:  $\nu = 1/m$  (Laughlin, 1983)

- A strongly correlated quantum fluid that supports emergent quasiparticle excitations with

fractional charge:  $e^* = e/m$

fractional exchange statistics:  $\Theta = e^{i\pi/m}$

- Low energy behavior is characterized by a 2+1D Chern-Simons effective field theory.



# Topological Field Theory

Effective action for  $\nu=1/m$  Laughlin state :

Abelian  $U(1)_m$  Chern-Simons Theory

$$S = \int d^2x dt \left( \underbrace{\frac{m}{4\pi} \varepsilon_{\mu\nu\lambda} a_\mu \partial_\nu a_\lambda}_{\text{Chern-Simons term}} + \frac{1}{2\pi} \varepsilon_{\mu\nu\lambda} A_\mu \partial_\nu a_\lambda \right)$$

Electromagnetic Field:

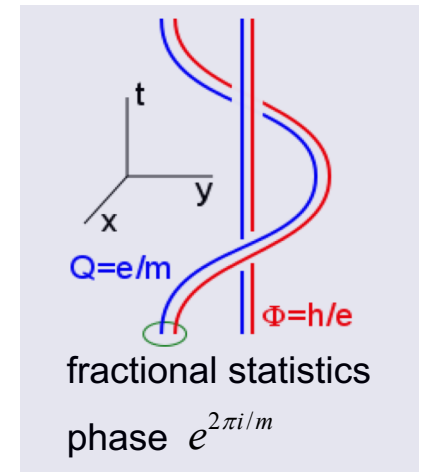
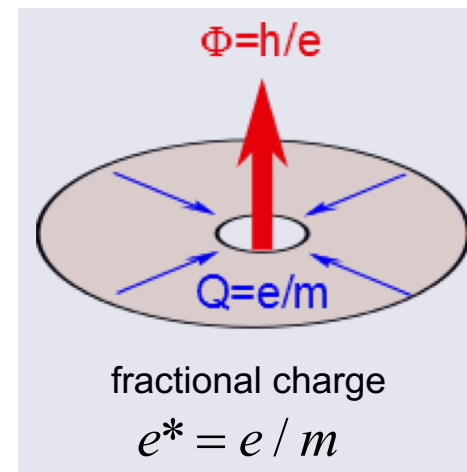
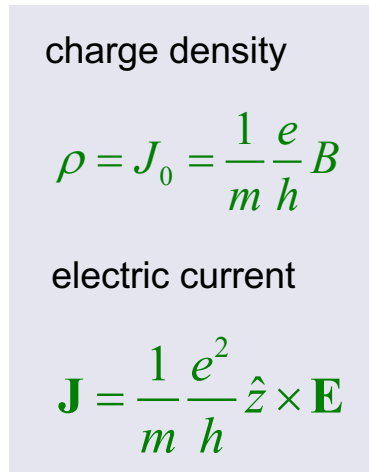
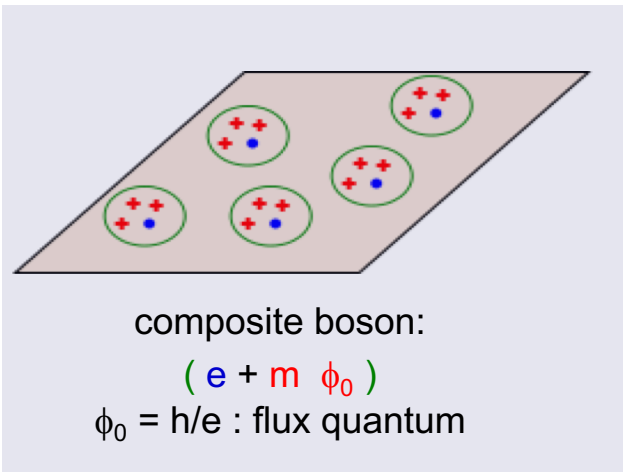
$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

Electric Current:

$$J_\mu = \frac{\delta S}{\delta A_\mu} = \frac{1}{2\pi} \varepsilon_{\mu\nu\lambda} \partial_\nu a_\lambda$$

$$= \frac{1}{4\pi m} \varepsilon_{\mu\nu\lambda} F_{\nu\lambda}$$

The Chern-Simons term describes the binding of electric charge to magnetic flux.



# Non-Abelian Topological Phases

## Non-Abelian quasiparticle statistics: Moore and Read 1991

- Topological ground state degeneracies
- Method to store and manipulate quantum information (Kitaev 2001)
- Foundation for topological quantum computation

## Ising topological order:

e.g.  $SU(2)_2$  Chern-Simons theory  $\rightarrow$  Ising TQFT

- Fractional quantum Hall state at  $\nu = 5/2$
- Topological superconductivity in proximity effect devices

Promising  
experimental  
evidence

## Fibonacci topological order:

e.g.  $SU(2)_3$  Chern-Simons theory  $\rightarrow$   $Z_3$  parafermion TQFT

- Candidate for fractional quantum Hall state at  $\nu = 12/5$

e.g.  $(G_2)_1$  Chern-Simons theory  $\rightarrow$  Fibonacci TQFT

- Proposed in strongly correlated topological superconductor

Challenge  
for the  
future

## Evidence for non-Abelian phase at $\nu=5/2$

e.g. Heiblum et al. (Weizmann)

- 2008: Shot noise experiments measure fractional quasiparticle charge.

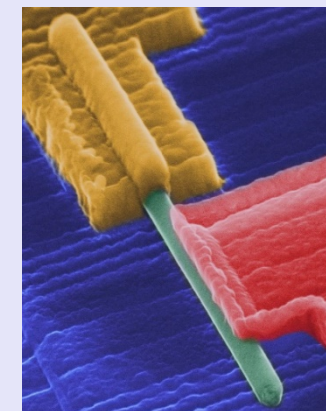
$$e^* \sim e/4$$

- 2018: Thermal conductance experiments measure fractional quantized chiral central charge.

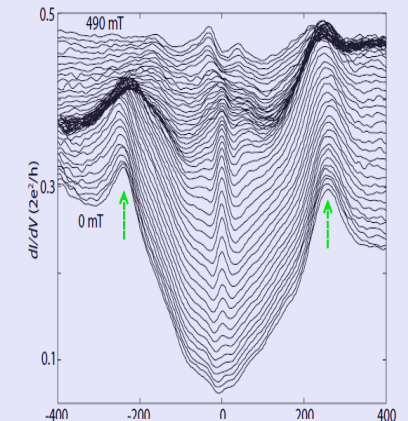
$$c \sim 5/2$$

## Evidence for topological superconductivity

e.g. Kouwenhoven et al. 2012 (Delft)



semiconductor quantum wire on superconductor



zero bias anomaly observed in tunneling

# Outlook

There are many more examples of topological phenomena in band theory:

- Topological superconductors
- Topological crystalline insulators
- Topological semimetals
- Topological photonics
- Topological mechanics .....

Frontier of strongly interacting topological phases:

- Can we do for the fractional quantum Hall effect what topological insulators did for the integer quantum Hall effect?
- Can we control non-Abelian topological phases?
- Can we construct a topological quantum computer?

