

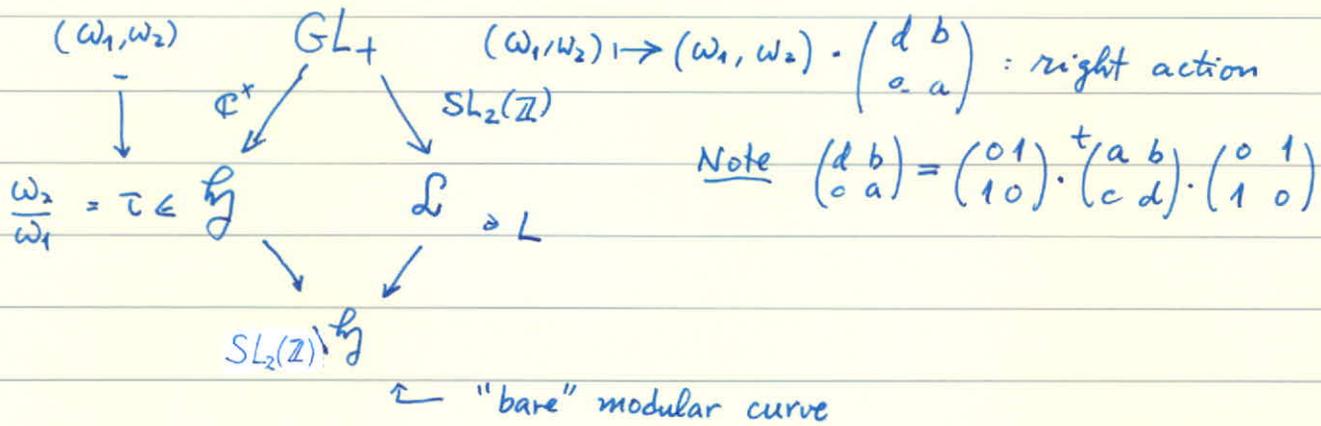
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Algebraic interpretation of modular forms

§1. Notation

$$GL_+ = \left\{ (w_1, w_2) \in \mathbb{C}^2 \mid \text{Im}(w_2/w_1) > 0 \right\}$$

$$\mathcal{L} = \left\{ \text{lattices } \Lambda \subseteq \mathbb{C} \right\} \longleftrightarrow \left\{ (E, \omega) \mid \begin{array}{l} E: \text{elliptic curve } / \mathbb{C} \\ \omega = \text{generator of } H^0(E, \Omega_{E/\mathbb{C}}^1) \end{array} \right\} \quad (\text{isom.})$$



Recall Weierstrass theory:

$$x = \wp(z, L) = \frac{1}{z^2} + \sum_{0 \neq \ell \in L} \left[\frac{1}{(z-\ell)^2} - \frac{1}{\ell^2} \right] \quad L \in \mathcal{L}$$

$$y = \frac{d}{dz} \wp(z, L)$$

$$g_2 = 60 \sum_{0 \neq \ell \in L} \frac{1}{\ell^4}, \quad g_3 = 140 \sum_{0 \neq \ell \in L} \frac{1}{\ell^6}$$

$$\rightsquigarrow \mathcal{L} \cong \left\{ (g_2, g_3) \in \mathbb{C}^2 \mid g_3^2 - 27g_2^3 \neq 0 \right\} = \text{Spec } \mathbb{C}[g_2, g_3, (g_3^2 - 27g_2^3)^{-1}] / \mathbb{C}$$

Over \mathcal{L} , has an elliptic curve $E_{\mathcal{L}}$ with Weierstrass eqⁿ

$$\left(y^2 = 4x^3 - g_2x - g_3, \frac{dx}{y} \right)$$

$$\text{Let } \eta := x \frac{dx}{y} = \wp(z, L) dz$$

Legendre period relation:

$$\det \begin{pmatrix} \omega_1 & \omega_2 \\ \eta(\omega_1; L) & \eta(\omega_2; L) \end{pmatrix} = 2\pi\sqrt{F}$$

 $\eta(\omega_i; L) = \text{periods of } \eta$

Weierstrass zeta

$$\zeta(z, L) = \frac{1}{z} + \sum_{0 \neq \ell \in L} \left[\frac{1}{z+\ell} + \frac{z}{\ell^2} - \frac{1}{\ell} \right], \quad -d\zeta = \eta$$

$$[\eta] = \left[\ell \mapsto \int_{\ell} \eta =: \eta(\ell, L) = \zeta(z, L) - \zeta(z+\ell, L) \right] \in \text{Hom}_{\mathbb{Z}}(L, \mathbb{C})$$

$$\uparrow$$

$$H_{\text{dR}}^1(E)$$

reformulation: $\langle \omega, \eta \rangle_{\text{top}} = 2\pi\sqrt{F}$ equiv. $\langle \omega, \eta \rangle_{\text{dR}} = 1$ ($\langle \cdot, \cdot \rangle_{\text{dR}} = \frac{1}{2\pi\sqrt{F}} \langle \cdot, \cdot \rangle_{\text{top}}$)Recall: Serre's defⁿ of $\langle \cdot, \cdot \rangle_{\text{dR}}$: On a curve C/\mathbb{C} (or over a field)

$$\alpha: df_k, \beta: ds_k \quad \langle \alpha, \beta \rangle_{\text{dR}} = -\sum_{P \in C} \text{Res}_P(f_P \cdot \alpha) \quad f_P \in \hat{\mathcal{O}}_P, df_P = \beta$$

Recall: On a Riemann surface C , a meromorphic differential is a differential of the 2nd kind if it is locally exact, i.e. all residues are 0.

$$H_{\text{dR}}^1(C) = H^1(C, \Omega^0) \xrightarrow{\sim} \{ \text{differential of the 2nd kind} \} / \{ \text{exact} \}$$

 $C = U_1 \cup U_2$ Čech cover

cocycles:

$$\begin{aligned} (\omega_1, \omega_2, f_{12}) & \quad \omega_i \in \Gamma(U_i, \Omega_{U_i}^1) \\ \omega_1 - \omega_2 + df_{12} = 0 & \quad f_{12} \in \Gamma(U_1 \cap U_2, \mathcal{O}_{U_1 \cap U_2}) \quad (\leadsto \omega_1, \omega_2 \text{ are } ds_k) \end{aligned}$$

$$(\omega_1, \omega_2, f_{12}) \longmapsto \omega_1$$

§2 Modular forms

[5]

Modular forms of wt $k \in \mathbb{Z}$

$$(i) \quad F: GL_+ \longrightarrow \mathbb{C} \text{ s.t. } F(\lambda \omega_1, \lambda \omega_2) = \lambda^k F(\omega_1, \omega_2) \quad \forall \lambda \in \mathbb{C}^\times \\ \forall (\omega_1, \omega_2) \in GL_+ \\ \uparrow \text{ holomorphic, or } C^\infty$$

(More generally, of weights $(k, s) \in \mathbb{Z} \times \mathbb{C}$ if $F(\lambda \omega_1, \lambda \omega_2) = \lambda^k |\lambda|^{-2s} F(\omega_1, \omega_2)$)

$$(ii) \quad f: \mathbb{H} \longrightarrow \mathbb{C} \quad f\left(\frac{a\tau+b}{c\tau+d}\right) = (c\tau+d)^k f(\tau) \\ \forall \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma \subseteq \Gamma(1) \\ \text{congruence subgroup} \\ (\Rightarrow F(\omega_1, \omega_2) := \omega_1^{-k} f(\omega_2/\omega_1) \text{ is of weight } k) \\ \text{and } \Gamma\text{-invariant}$$

[6]

$$(i)_{\text{alg}} \quad (E, \omega) \longmapsto F(E, \omega) \text{ s.t. } F(E, \lambda \omega) = \lambda^k F(E, \omega) \quad \forall \lambda \in R^\times \\ E: \text{ elliptic curve over } R \quad \uparrow \text{ depending only on the isomorphism class of } (E, \omega) \\ \text{and functorial w.r.t. base change } R \rightarrow R' \\ R \cdot \omega = H^0(E, \Omega_{E/R}^1)$$

$$(iii) \quad \begin{array}{c} \mathcal{E}_\Gamma \\ \swarrow \pi \\ \mathcal{M}_\Gamma \end{array} \quad \text{universal elliptic curve } / \mathcal{M}_\Gamma, \quad \underline{\omega} = \pi_* \Omega_{\mathcal{E}}^1 = \mathcal{E}^* \Omega_{\mathcal{E}}^1$$

a global section of $\underline{\omega}^{\otimes k}$

($F(E, \omega) \cdot \omega_{E/R}^{\otimes k}$ in the situation of (i) alg)

§3 The q -expansion principle

[7]

q -expansion: $q = e^{2\pi i \tau}$

$$F(2\pi i, 2\pi i \tau) = \sum_{n \in \frac{1}{N} \cdot \mathbb{Z}} a_n \cdot q^n \quad \text{if } F \text{ is invariant by } \begin{pmatrix} 1 & N \\ 0 & 1 \end{pmatrix}$$

i.e. $F(\omega_1, \omega_2 + N\omega_1) = F(\omega_1, \omega_2)$

algebraic interpretation of the q -expansion

via the Tate curve $(\text{Tate}(q), \omega_{\text{can}}) / \mathbb{Z}((q))$ (or $\mathbb{Z}((q)) \otimes_{\mathbb{Z}} R$ R : a ground ring)

$\text{Tate}(q) = "G_m / q^{\mathbb{Z}}"$ $\omega_{\text{can}} =$ descended from the invariant differential dt/t on G_m

t : coord. on $G_m = \text{Spec } \mathbb{Z}((q))[t, t^{-1}]$

Tate curve/ \mathbb{C} : $\mathbb{C} / (2\pi i \mathbb{Z} + 2\pi i \tau)$ over $\{q \in \mathbb{C}^* \mid 0 < |q| < 1\}$
 (holomorphic version) \uparrow $\text{Tate}(q)$ $q = e^{2\pi i \tau}$

Tate(q): $X^2 = 4X^3 - g_2(2\pi i, 2\pi i \tau)X - g_3(2\pi i, 2\pi i \tau)$

[8]

$$g_2(2\pi i, 2\pi i \tau) = \frac{1}{12} \left(1 + 240 \sum_{n \geq 1} \sigma_3(n) q^n \right), \quad \sigma_k(n) = \sum_{\substack{d|n \\ d \geq 1}} d^k$$

$$g_3(2\pi i, 2\pi i \tau) = -\frac{1}{216} \left(1 - 504 \sum_{n \geq 1} \sigma_5(n) q^n \right)$$

Consider: Tate(q) over \mathbb{F}_q $\cong \mathbb{Z}$

$X = \wp(w; 2\pi i \mathbb{Z} + 2\pi i \tau)$ $\omega_{\text{can}} = dw$

$Y = \frac{d}{dw} \wp(w; 2\pi i \mathbb{Z} + 2\pi i \tau)$ $\eta_{\text{can}} = \wp(w; 2\pi i \mathbb{Z} + 2\pi i \tau) \cdot dw$

classical convention $x = \wp(z; \tau \mathbb{Z} + \mathbb{Z})$ $y = \frac{d}{dz} \wp(z; \tau \mathbb{Z} + \mathbb{Z})$ "w = 2\pi i z"

q-expansion

q-expansion principle

= evaluation at Tate(q)

"ignore" level structures

M/\mathbb{Z} modular curve (for some ^{unspecified} level structure)

$R \subseteq \mathbb{C}$ a subring

Thm:

A modular form $f(z)$ of weight k has all Fourier coefficients in R iff it comes from an element of $\Gamma(M \times_{\text{Spec } \mathbb{Z}} \text{Spec } R, \omega^{\otimes k})$.

§4 $H^1_{DR}(E/M)$ and the canonical splitting

Given any (E, ω) over $R = \mathbb{Z}[\frac{1}{2}]$.

\exists unique $X, Y \in \Gamma(E - \{\text{zero section}\}, \mathcal{O})$ $X \in \Gamma(\mathcal{O}(2\omega)), Y \in \Gamma(\mathcal{O}(3\omega))$

\nwarrow write " ∞ " for this divisor $\text{ord}_{\infty}(X) = -2, \text{ord}_{\infty}(Y) = 3$

s.t.
$$\begin{cases} Y^2 = 4X^3 - g_2X - g_3 & \text{for some } g_2, g_3 \in R \\ \text{and } \omega = dX/Y & (\leadsto g_2, g_3 \text{ are then specified}) \end{cases}$$

This construction also gives a splitting of $\omega_{E/R}^{\otimes -1}$

$$0 \rightarrow \omega_{E/R} \rightarrow H^1_{DR}(E/R) \rightarrow H^1(E, \mathcal{O}_E) \rightarrow 0$$

\sum This splitting is canonical (functorial for isomorphisms of elliptic curves) but not functorial for homomorphism between elliptic curves

$$\begin{aligned}
 H^1(O_E \rightarrow \Omega_{E/R}^1) &\xrightarrow{\sim} H^1(O_E(\infty) \rightarrow \Omega_{E/R}^1(2\infty)) \\
 &\xrightarrow{\sim} \text{coker}(H^0(O_E(\infty)) \rightarrow H^0(\Omega_{E/R}^1(2\infty))) \xrightarrow{\sim} H^0(\Omega_{E/R}^1(2\infty)) \\
 &\because H^1(O_E(\infty)) = 0 = H^1(\Omega_{E/R}^1(2\infty))
 \end{aligned}$$

\Rightarrow get, for (E, ω) ,

$$H_{DR}^1(E/R) \xleftarrow{\sim} \omega_{E/R} \oplus \omega_{E/R}^{\otimes -1} \quad \text{canonical splitting}$$

$$a\omega + b\eta \longleftarrow a\omega + b\omega^{-1}$$

Note: The R -submodule $R \cdot \eta \subseteq H_{DR}^1(E/R)$ is independent of the choice of the R -basis ω of $H^0(\Omega_{E/R}^1)$. i.e. the above splitting is functorial w.r.t isomorphisms between elliptic curves

§5 $H_{DR}^1(E/M)$ and the Gauss-Mannin connection 12

\uparrow
 \exists algebraic construction (omitted)

classical convention: $E_\tau = \mathbb{C}/\mathbb{Z} + \mathbb{Z}\tau$ $\gamma_1 \leftrightarrow \tau$ z : coord on \mathbb{C}
 $\gamma_2 \leftrightarrow 1$

$$\int_\gamma \nabla_\tau(\xi) = \frac{d}{d\tau} \int_\gamma \xi$$

$$\forall \gamma \in \mathbb{Z} + \mathbb{Z}\tau$$

$$\forall \xi \in H_{DR}^1(E) \quad (\text{holomorphic functions of } z)$$

$$\nabla\left(\frac{d}{d\tau}\right) \begin{pmatrix} \omega \\ \eta \end{pmatrix} = \frac{-1}{2\pi i} \begin{pmatrix} \eta_2 & -1 \\ (\eta_2)^2 - 2\pi i \frac{d}{d\tau} \eta_2 & \eta_2 \end{pmatrix} \begin{pmatrix} \omega \\ \eta \end{pmatrix}$$

$$\omega = \frac{dx}{y}, \quad \eta = \frac{x dx}{y}$$

Recall classical formulas:

$$\omega_i = \int_{\gamma_i} \omega, \quad \eta_i = \int_{\gamma_i} \eta$$

$$\eta_1 \omega_2 - \eta_2 \omega_1 = 2\pi\sqrt{-1}$$

Note: Here we have a reversal of the ordering of ω_1, ω_2 compared with the convention for GL^+ : Here $\text{Im}(\omega_1/\omega_2) = \text{Im}(\tau) > 0$

$$(\Leftrightarrow) \langle \omega, \eta \rangle_{\text{top}} = 2\pi\sqrt{-1}$$

ie

$$\eta_1 - \tau \eta_2 = 2\pi\sqrt{-1}$$

$$\eta_2(\tau) = - \sum_{m \in \mathbb{Z}} \sum_{\substack{n \in \mathbb{Z} \\ n \neq 0 \text{ if } m=0}} \frac{1}{(m\tau+n)^2} = -\frac{\pi^2}{3} P,$$

where $P = 1 - 24 \sum_{n \geq 1} \sigma_1(n) q^n$
 $(q = e^{2\pi i \tau})$

$$\eta_1(\tau) = - \sum_{n \in \mathbb{Z}} \sum_{m \neq 0 \text{ if } n=0} \frac{\tau}{(m\tau+n)^2}$$

$$\eta_2(-\frac{1}{\tau}) = \tau \eta_1(\tau) = \tau^2 \eta_2(\tau) + 2\pi\sqrt{-1} \tau$$

equiv. $P(-\frac{1}{\tau}) = \tau^2 P(\tau) - \frac{6\sqrt{-1}\tau}{\pi}$

"almost a weight-two modular form"

Rewrite the Gauss-Manin connection for Tate(q):

$$\nabla(\theta) \begin{pmatrix} \omega_{\text{can}} \\ \eta_{\text{can}} \end{pmatrix} = \begin{pmatrix} -\frac{P}{12} & 1 \\ -\frac{(P^2 - 12\theta(P))}{144} & \frac{P}{12} \end{pmatrix} \cdot \begin{pmatrix} \omega_{\text{can}} \\ \eta_{\text{can}} \end{pmatrix}$$

$$\theta = q \frac{d}{dq} \quad (= \frac{1}{2\pi i} \frac{d}{d\tau})$$

$$\omega_{\text{can}} = 2\pi\sqrt{-1} \omega = \frac{dt}{t}$$

$$\eta_{\text{can}} = \frac{1}{2\pi\sqrt{-1}} \eta$$

$\omega = "dz"$, $\omega_{\text{can}} = "dW"$
 $t = e^{2\pi i \tau} = e^W$

Kodaira-Spencer

$$E/S/T \quad D \in \text{Der}(S/T) \rightsquigarrow D \longmapsto \left(\omega^{\otimes 2} \longmapsto \langle \omega, \nabla(D)\omega \rangle_{\text{DR}} \right)$$

$$\text{Der}(S/T) \cong \underline{\omega}^{\otimes 2}$$

$$\Rightarrow \omega_{\text{can}}^{\otimes 2} \xrightarrow{\text{K.S.}} \frac{dq}{q}$$

$$\circ \circ \quad \langle \omega_{\text{can}}, \nabla(\theta) \omega_{\text{can}} \rangle_{\text{DR}} = 1$$

§6 The Gauss-Mann connection as a differential operator

$$\begin{array}{ccc}
 \begin{array}{c} E/S/T \xrightarrow{\wedge} \\ t \in \mathbb{N}_{\geq 0} \end{array} & \text{Sym}^t H_{-DR}^1(E/S) & \longrightarrow \text{Sym}^t H_{-DR}^1(E/S) \otimes \Omega_{S/T}^1 \cong \text{Sym}^t H_{-DR}^1(E/S) \otimes \omega^{\otimes 2} \\
 & \downarrow \nabla & \\
 \bigoplus_{j=0}^t \omega^{t-2j} & & \bigoplus_{j=0}^t \omega^{t+2-2j}
 \end{array}$$

Suppose: $a, b \in \mathbb{N}, 0 \leq a, b \leq t$

$\in \Gamma(\text{Sym}^t H_{-DR}^1(\text{Tot}(q)))$

f : modular form of wt $k = a - b$, canonically. $f \cdot \omega_{can}^{\otimes a} \otimes \eta_{can}^{\otimes b}$

$$\begin{aligned}
 \nabla(f) = & \left[\theta(f) - (a-b) \cdot \frac{P}{12} f \right] \cdot \omega_{can}^{\otimes a+2} \cdot \eta_{can}^{\otimes b} \\
 & + (af) \cdot \omega_{can}^{\otimes a+1} \cdot \eta_{can}^{\otimes b+1} + \left[b \cdot \frac{-(P^2 - 12\theta(P))}{144} \cdot f \right] \cdot \omega_{can}^{\otimes a+3} \cdot \eta_{can}^{\otimes b-1}
 \end{aligned}$$

$$\theta = q \frac{d}{dq} = \frac{1}{2\pi i} \frac{d}{dz}$$

Consequences

$$1) \quad P^2 - 12\theta(P) = \tilde{E}_4 = 12g_2(2\pi i, 2\pi i\tau) = 1 + 240 \sum_{n \geq 1} \sigma_3(n) q^n$$

[Apply formula to $f=1$] The unique $\Gamma(1)$ -form of wt 4 with const. term 0

$$2) \quad P = \frac{\theta(\Delta)}{\Delta} \quad \text{where} \quad \Delta = \left(\frac{\tilde{E}_4^3 - \tilde{E}_6^2}{1728} \right), \text{ the unique normalized cusp form for } \Gamma(1) \text{ of wt. } = 12$$

$$\begin{aligned}
 \tilde{E}_6 &= -216 \cdot g_3(2\pi i, 2\pi i\tau) \\
 &= 1 - 504 \sum_{n \geq 1} \sigma_5(n) \cdot q^n
 \end{aligned}$$

3) f is a modular form of wt k

$\Rightarrow \theta(f) - k \cdot \frac{P}{12} \cdot f$ is a modular form of wt $k+2$

$$\text{Serre's operator } \partial = 12 \cdot (\text{the } \omega^{\otimes k+2} \text{-component of}) \nabla$$

$\partial: f \mapsto 12\theta(f) - k \cdot P \cdot f$

"middle"

§7 How to think about "the" weight 2 Eisenstein series

$$\text{Let } A_2(\omega_1, \omega_2) := \sum_{m \in \mathbb{Z}} \sum_{\substack{n \in \mathbb{Z} \\ n \neq 0 \text{ if } m=0}} \frac{1}{m\omega_2 + n\omega_1} \quad (\omega_1, \omega_2) \in GL_+^2$$

$$\text{i.e. } \text{Im}(\omega_2/\omega_1) > 0$$

$$= \left(\frac{2\pi i}{\omega_1}\right)^2 \cdot \left(-\frac{1}{12} + 2 \sum_{n \geq 1} \sigma_1(n) q^n \right)$$

$$\quad \quad \quad -\frac{1}{12} \cdot P(q) \quad q = e^{2\pi i \tau} = e^{2\pi i (\omega_2/\omega_1)}$$

$$\eta(\omega_1; \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2) = -\omega_1 \cdot A_2(\omega_1, \omega_2)$$

$$\eta(\omega_2; \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2) = -\omega_2 A_2(-\omega_2, \omega_1)$$

classical notation

$$A_2(\omega_1, \omega_2) = -\omega_1^2 \cdot \eta_2(\tau)$$

$$\tau = \omega_2/\omega_1$$

Define: $-\frac{1}{12} S(\omega_1, \omega_2) = A_2(\omega_1, \omega_2) - \frac{2\pi i \bar{\omega}_1}{\omega_1(\bar{\omega}_1 \omega_2 - \omega_1 \bar{\omega}_2)}$

$$= \lim_{\epsilon \rightarrow 0^+} \sum_{(n,m) \neq (0,0)} \frac{1}{(n\omega_1 + m\omega_2)^2 \cdot |n\omega_1 + m\omega_2|^{2\epsilon}}$$

Note: $\text{Im}(\bar{\omega}_1 \omega_2)$
 $= \frac{1}{2i} (\bar{\omega}_1 \omega_2 - \omega_1 \bar{\omega}_2)$
 $= \text{area}(\mathbb{C}/\omega_1 \mathbb{Z} + \omega_2 \mathbb{Z})$

$$-\frac{1}{12} S(2\pi i, 2\pi i \tau) = -\frac{1}{12} P(q) + \frac{1}{4\pi i} = 2. \quad (\text{Shimura's } E_2(\tau))$$

This is a non-holomorphic Eisenstein series

$$-\frac{1}{12} S(\omega_1, \omega_2) \text{ is invariant under } SL_2(\mathbb{Z})$$

$$\iff (\text{Legendre's period relation}) \quad A_2(\omega_1, \omega_2) - A_2(-\omega_2, \omega_1) = \frac{2\pi i}{\omega_1 \omega_2}$$

period relation

$$\implies -\langle \bar{\omega}, \eta \rangle_{\text{top}} = \underbrace{(\bar{\omega}_1 \omega_2 - \omega_1 \bar{\omega}_2)}_{\langle \bar{\omega}, \omega \rangle_{\text{top}}} \cdot A_2(\omega_1, \omega_2) - \frac{2\pi i \bar{\omega}_1}{\omega_1}$$

$$\iff -\frac{1}{12} S(\omega_1, \omega_2) = -\frac{\langle \bar{\omega}, \eta \rangle_{\text{top}}}{\langle \bar{\omega}, \omega \rangle_{\text{top}}}$$

i.e. $\bar{\omega} = a\omega + b\eta$ with $a/b = -\frac{1}{12} S(\omega_1, \omega_2)$

§8 Ramanujan's series $P(q) = 1 - 24 \sum_{n \geq 1} \sigma_1(n) q^n$ as a p-adic modular form of weight 2 [19]

General construction: $R =$ p-adically complete ring
 E/R elliptic curve, $E \pmod{p}$ ordinary, $H \subseteq E[p]$ "canonical subgroup of order p"
 $\pi: E/R \rightarrow E/H =: E'$

Apply this to the universal situation: $R = \left\{ \begin{array}{l} \text{p-adic modular functions of} \\ \text{level } n \text{ over } W(\mathbb{F}_q) \end{array} \right\}$ on $\mathcal{M}_n^{\text{ord}}/W(\mathbb{F}_q)$
 $q \in \mathbb{P}^1 \setminus \{0, 1, \infty\}$, $q \equiv 1 \pmod{n}$

$$\begin{array}{ccc} \mathcal{E} & \xrightarrow{\pi} & \mathcal{E}' \rightarrow \mathcal{E} \\ & \searrow & \downarrow \square \downarrow \\ & & \text{Spec } R \rightarrow \text{Spec } R \\ & & R \xleftarrow{\varphi} R \end{array} \rightsquigarrow \begin{array}{ccc} H_{\text{DR}}^1(\mathcal{E}/R) & \xleftarrow{\pi^*} & H_{\text{DR}}^1(\mathcal{E}'/R) \simeq H_{\text{DR}}^1(\mathcal{E}/R)^{(\varphi)} \leftarrow H_{\text{DR}}^1(\mathcal{E}/R) \\ & \xleftarrow{F(\varphi)} & \end{array}$$

$F(\varphi) : \varphi\text{-linear}$

for $0 \rightarrow \underline{\omega} \rightarrow H_{\text{DR}}^1(\mathcal{E}/R) \rightarrow H^1(\mathcal{E}, \mathcal{O}_{\mathcal{E}/R}) \rightarrow 0$
 $F(\varphi)|_{\underline{\omega}} = P$, $F(\varphi)$ induces 1 on $H_{\text{DR}}^1(\mathcal{E}/R)/\underline{\omega} \simeq H^1(\mathcal{E}, \mathcal{O}_{\mathcal{E}/R})$

Key 1) $F(\varphi)$ respects the Gauss-Manin connection (functoriality) [20]

2) $\nabla(\theta)(\omega_{\text{can}}) = -\frac{P}{12} \omega_{\text{can}} + \eta_{\text{can}}$ is killed by $\nabla(\theta)$
 on $\text{Tate}(q)$ $\circ \circ \nabla(\theta)$ is unipotent on this $\text{rk}=2$ vector bundle

$$\Rightarrow F(\varphi)(\nabla(\theta)(\omega_{\text{can}})) = a \cdot \nabla(\theta)(\omega_{\text{can}})$$

$$\rightsquigarrow F(\varphi)(\eta_{\text{can}}) = \left(\frac{P \cdot \varphi(P) - P}{12} \right) \omega_{\text{can}} + \eta_{\text{can}}$$

$$\begin{pmatrix} F(\varphi) \omega_{\text{can}} \\ F(\varphi) \eta_{\text{can}} \end{pmatrix} = \begin{pmatrix} P & 0 \\ \frac{P \cdot \varphi(P) - P}{12} & 1 \end{pmatrix} \begin{pmatrix} \omega_{\text{can}} \\ \eta_{\text{can}} \end{pmatrix},$$

$$\begin{pmatrix} F(\varphi) \omega_{\text{can}} \\ F(\varphi)(\nabla(\theta)(\omega_{\text{can}})) \end{pmatrix} = \begin{pmatrix} P & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \omega_{\text{can}} \\ \nabla(\theta)(\omega_{\text{can}}) \end{pmatrix}$$

Frobenius splitting over $M_n^{\text{ord}}/W(\mathbb{F}_q)$:

$$0 \rightarrow \underline{\omega} \rightarrow H_{\text{DR}}^1(E/M_n^{\text{ord}}/W(\mathbb{F}_q)) \rightarrow H_{\text{DR}}^1(E/M_n^{\text{ord}}/W(\mathbb{F}_q), \sigma_E) \simeq \underline{\omega}^{-1} \rightarrow 0$$

$\begin{array}{c} U \\ \uparrow \\ U \end{array}$
↗
~

Both $\underline{\omega}$ and U are stable under $F(\varphi)$, of rank 1
 \uparrow "unit root part"

Define, for any $(E, \omega)_{/R}$, R : p -adically complete, $E \pmod{p}$ ordinary

$$\boxed{-\frac{1}{12} \tilde{P}((E, \omega)_{/R}) \stackrel{\text{def}}{=} -\frac{\langle u, \eta \rangle_{\text{DR}}}{\langle u, \omega \rangle_{\text{DR}}} \quad \forall \text{ base } u \text{ of } U}$$

$-\frac{1}{12} \tilde{P}$ is a p -adic modular form of weight 2

Evaluate \tilde{P} on Tate(q):

$$\begin{aligned} -\frac{1}{12} \tilde{P}(\text{Tate}(q), \omega_{\text{can}}) &= \frac{-\langle \nabla(\theta)(\omega_{\text{can}}), \eta_{\text{can}} \rangle_{\text{DR}}}{\langle \nabla(\theta)(\omega_{\text{can}}), \omega_{\text{can}} \rangle_{\text{DR}}} = \frac{\langle \frac{-P}{12} \omega_{\text{can}} + \eta_{\text{can}}, \eta_{\text{can}} \rangle_{\text{DR}}}{\langle \frac{-P}{12} \omega_{\text{can}} + \eta_{\text{can}}, \omega_{\text{can}} \rangle_{\text{DR}}} \\ &= -\left(-\frac{P}{12}\right) / -1 = -\frac{P}{12} \end{aligned}$$

Conclude: $-\frac{1}{12} \tilde{P} = -\frac{1}{12} P$

$$\text{Compare with: } -\frac{1}{12} S(\omega_1, \omega_2) = -\frac{\langle \bar{\omega}, \eta \rangle_{\text{DR}}}{\langle \bar{\omega}, \omega \rangle_{\text{DR}}}$$

Compare notations (Shimura's notation)

$k \geq 4$
 k even

$$E_k(\tau) = \frac{(k-1)!}{(2\pi\sqrt{y})^k} \zeta(k) + \sum_{n \geq 1} \sigma_{k-1}(n) q^n \quad q = e(\tau) = e(2\pi\sqrt{y}\tau)$$

$$g_2(2\pi\sqrt{y}, 2\pi\sqrt{y}\tau) = 20 \cdot \left(\frac{1}{240} + \sum_{n \geq 1} \sigma_2(n) q^n \right) = 20 \cdot E_4$$

$$g_3(2\pi\sqrt{y}, 2\pi\sqrt{y}\tau) = \frac{7}{3} \left(-\frac{1}{504} + \sum_{n \geq 1} \sigma_5(n) q^n \right) = \frac{7}{3} E_6$$

$$E_2(\tau) = \frac{1}{8\pi y} - \frac{1}{24} + \sum_{n \geq 1} \sigma_1(n) q^n = -\frac{1}{24} S(2\pi\sqrt{y}, 2\pi\sqrt{y}\tau)$$

$$-\frac{1}{12} S = -\frac{\langle \bar{w}, \gamma \rangle}{\langle \bar{w}, w \rangle}, \quad -\frac{1}{12} P = \frac{\langle u, \gamma \rangle}{\langle u, w \rangle}$$

$P = 1 - 24 \sum_{n \geq 1} \sigma_1(n) q^n$
 u : base of $U \leftarrow$ unit root, part of H_{Dir}^1

§9 Nearly holomorphic modular forms

$$D_k f = (2\pi\sqrt{y})^{-k} \left(\frac{\partial}{\partial \tau} + \frac{k}{2\sqrt{y}} \right) f \quad f: \mathfrak{H}_y \rightarrow \mathbb{C}, C^\infty\text{-function}$$

$$D_k^t := \underbrace{D_{k+2t-2} \circ \dots \circ D_{k+2} \circ D_k}_{t\text{-times}} \text{ is } GL_2(\mathbb{R})_+ \text{-invariant}$$

$$D_k^t(f|_k \gamma) = (D_k^t f)|_{k+2t} \quad \forall \gamma \in GL_2(\mathbb{R})_+$$

Recall:
 $(f|_k \gamma)(\tau) = \det(\gamma)^{k/2} (c\tau+d)^{-k} f(\gamma\tau)$
 $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in GL_2(\mathbb{R})$

Def: $N_k^t(\Gamma) := \left\{ \begin{array}{l} f: \mathfrak{H}_y \rightarrow \mathbb{C} \\ \text{smooth} \end{array} \mid \begin{array}{l} f|_k \gamma = f \quad \forall \gamma \in \Gamma, \text{ and } \forall \xi \in GL_2(\mathbb{Q}) \cap GL_2(\mathbb{R})_+ \\ (f|_k \xi)(\tau) = \sum_{m=0}^t (\tau - \bar{\tau})^{-m} \sum_{n=0}^{\infty} c_{m, \xi, n} \mathcal{E}(n\tau/N_\xi) \end{array} \right\}$

(Shimura) U $N_\xi > 0$

$$N_k^t(K, \Gamma) := \left\{ \begin{array}{l} \text{Those } f: \mathfrak{H}_y \rightarrow \mathbb{C} \\ \text{in } N_k^t(\Gamma) \end{array} \mid f(\tau) = \sum_{a=0}^t (2\pi y)^{-a} \sum_{n=0}^{\infty} c_{a,n} \mathcal{E}(n\tau/N) \right\}$$

with all $c_{a,n} \in K$

For any subfield $K \subseteq \mathbb{C}$

(Use the standard cusps)

Facts about nearly holomorphic forms:

(1) $D_k^P: N_k^t(K, \Gamma) \rightarrow N_{k+P}^{t+P}(K, \Gamma)$ subfield $\forall K \subset \mathbb{C}$

(2) $E_2 = \frac{1}{8\pi y} - \frac{1}{24} + \sum_{n=1}^{\infty} \sigma_1(n) q^n \in N_2^1(\mathbb{Q}, \Gamma)$

(3) $N_k(K, \Gamma) = \bigoplus_{0 \leq b \leq \frac{k}{2}} E_2^b \cdot M_{k-2b}(K, \Gamma)$
 In particular $N_k^t(K, \Gamma) = 0 \quad \forall t > \frac{k}{2}$

(4) $D_k f + 2k E_2 f \in M_{k+2}(\mathbb{C}, \Gamma) \quad \forall f \in M_k(\mathbb{C}, \Gamma)$

(5) $N_k^t(K) = \bigcup_{\Gamma} N_k^t(K, \Gamma)$ is stable under $f \mapsto f|_k \xi \quad \forall \xi \in GL_2(\mathbb{Q}) \cap GL_2(\mathbb{R})_+$

(6) $D_{ak+bl}(f^a \cdot g^b) = a f^{a-1} \cdot g^b \cdot (D_k f) + b f^a \cdot g^{b-1} \cdot (D_l g) \quad f: wt k \quad g: wt l$

Ex. $D_2 E_2 = (\frac{5}{6}) \cdot E_4 - 2 \cdot E_2^2$ (p. 61 of Shimura, Elementary Dirichlet Series)

Modular interpretation of $N_k^t(\Gamma)$ $2t \leq k$

Recall: $\bar{\omega}_{can} = a \omega_{can} + b \eta_{can}$ with $\frac{a}{b} = -\frac{1}{12} S(2\pi\sqrt{t}, 2\pi\sqrt{t} \tau) = 2 \cdot E_2$

$\Rightarrow \eta_{can} = -2 E_2 \cdot \omega_{can} + (*) \cdot \bar{\omega}_{can}$

Consider: $\omega^{\otimes k-t} \otimes \text{Sym}^t(H_{DR}^1(\mathcal{E}/\mathcal{M}_\Gamma)) \cong \omega^{\otimes k-t} \otimes \bigoplus_{b=0}^t (\omega^{\otimes t-b} \otimes \omega^{-b})$
↑ modular curve

$\Gamma(\omega^{\otimes k-t} \otimes \text{Sym}^t(H_{DR}^1(\mathcal{E}/\mathcal{M}_\Gamma))) \cong \sum_{b=0}^t f_b \cdot (\omega_{can}^{\otimes k-b} \cdot \eta_{can}^{\otimes b}) \quad f_b \in M_k(\Gamma)$

Let $\Pi_{Hdg}^{(k,0)} =$ projection to the Hodge $(k,0)$ -component for $\text{Sym}^k(H_{DR}^1(\mathcal{E}/\mathcal{M}_\Gamma))$

$j_{k,t}: \omega^{\otimes k-t} \otimes \text{Sym}^t(H_{DR}^1(\mathcal{E}/\mathcal{M}_\Gamma)) \rightarrow \text{Sym}^{\otimes k}(H_{DR}^1(\mathcal{E}/\mathcal{M}_\Gamma))$

$$M_{k-2b}(\Gamma) \xrightarrow{\downarrow} \prod_{\text{Hdg}}^{(k,0)} \circ j_{k,t} : f_b \cdot (\omega_{\text{can}}^{\otimes k-b} \cdot \eta_{\text{can}}^{\otimes b}) \longmapsto (-2E_2)^b \cdot f_b \cdot \omega_{\text{can}}^{\otimes k} \quad 0 \leq b \leq t$$

$$\leadsto \prod_{\text{Hdg}}^{(k,0)} \circ j_{k,t} : \Gamma(\tilde{M}_\Gamma, \omega^{\otimes k-t} \otimes \text{Sym}^t(H_{-DR}^1(E/M_\Gamma))) \xrightarrow{\cong} \mathcal{N}_k^t(\Gamma)$$

compactified modular curve
canonical extⁿ of this
\forall t \leq k/2

Equiv.

$$\prod_{\text{Hdg}}^{(k,0)} : \Gamma(\tilde{M}_\Gamma, \text{Sym}^k(H_{-DR}^1(E/M_\Gamma))) \xrightarrow{\cong} \mathcal{N}_k(\Gamma) = \mathcal{N}_k^{\lfloor k/2 \rfloor}(\Gamma)$$

preserving the rationality structures \forall subfield $K \subset \mathbb{C}$

q -expansion principle for nearly holomorphic forms

 rational structure from $H_{-DR}^1 \iff$ rational structure from q -expansion

Proposition (modular interpretation of Shimura's operator D_k)

$$\begin{array}{ccc}
 \Gamma(\tilde{M}_\Gamma, \text{Sym}^k(H_{-DR}^1(E/M_\Gamma))) & \xrightarrow{\nabla} & \Gamma(\tilde{M}_\Gamma, \text{Sym}^k(H_{-DR}^1(E/M_\Gamma) \otimes \omega^{\otimes 2})) \\
 \prod_{\text{Hdg}}^{(k,0)} \downarrow \cong & & \cong \downarrow \prod_{\text{Hdg}}^{(k+2,0)} \\
 \mathcal{N}_k(\Gamma) & \xrightarrow{D_k} & \mathcal{N}_{k+2}(\Gamma)
 \end{array}$$

where $D_k f = (2\pi i)^{-1} \left(\frac{\partial}{\partial z} + \frac{k}{2iy} \right) f = \theta(f) - \frac{k}{4\pi y} f$, $\theta = q \frac{d}{dq}$

Rmk For $f \in M_k(\Gamma)$, $D_k f + 2k E_2 f = \theta(f) - k \cdot \frac{P}{12} f \in M_{k+2}(\Gamma)$

(Revisit fact 4 about nearly holomorphic forms)

$(= \frac{1}{12} \cdot \partial f)$
 \hookrightarrow Serre's operator

pf: $\Gamma(\tilde{M}_\Gamma, \text{Sym}^k(H_{DR}^1(E/M_\Gamma))) \cong \bigoplus_{b=0}^{\lfloor k/2 \rfloor} \Gamma(\tilde{M}_\Gamma, \underline{\omega}^{k-2b})$

For any $f_b \in \Gamma(\tilde{M}_\Gamma, \underline{\omega}^{k-2b})$, the corresponding section is $f_b \cdot (\omega_{can}^{k-b} \cdot \eta_{can}^b)$

Know $\nabla : f_b \cdot (\omega_{can}^a \cdot \eta_{can}^b) \mapsto \left[\theta(f_b) - (a-b) \frac{P}{12} f_b \right] \omega_{can}^{a+2} \cdot \eta_{can}^b + a f_b \cdot \omega_{can}^{a+1} \cdot \eta_{can}^{b+1} + \left(b \cdot \frac{-(P^2-12\theta(P))}{144} \cdot f_b \right) \omega_{can}^{a+3} \cdot \eta_{can}^{b-1}$

$\Pi^{(k,0)}(LHS) = f_b \cdot (-2E_2)^b$

$\Pi^{(k+2,0)}(RHS) = \left[\theta(f_b) - (a-b) \frac{P}{12} f_b \right] \cdot (-2E_2)^b + a \cdot f_b \cdot (-2E_2)^{b+1} + b \cdot f_b \cdot \left(-\frac{P^2-12\theta(P)}{144} \right) \cdot (-2E_2)^{b-1}$

$= \left\{ \theta(f_b) + (a-b) \cdot \left[\frac{-P}{12} + (-2E_2) \right] \cdot f_b \right\} \cdot (-2E_2)^b + b \cdot f_b \cdot \left[(-2E_2)^2 - \frac{P^2-12\theta(P)}{144} \right] \cdot (-2E_2)^{b-1}$

$= (D_{a-b} f_b) \cdot (-2E_2)^b + f_b \cdot D_{2b} (-2E_2)^b$

$= D_2 (-2E_2) \quad \text{where } \frac{P^2-12\theta(P)}{144} = \frac{4E_2^2 - \frac{5}{3}E_4}{144} = \frac{P^2-12\theta(P)}{144} = \frac{1}{144} + \frac{240}{144} \sum_{n \geq 1} \sigma_3(n) q^n$

$= D_k (f_b \cdot (-2E_2)^b)$

QED

§ 10 Modular interpretation of some holomorphic Eisenstein series of wt ≥ 2

$k = \text{wt} \geq 3$: Let $A_k(L) := \sum_{\ell \in L} \frac{1}{\ell^k}$ ($= 0$ if k odd) $L \in \mathcal{L}$

$\forall \ell_0 \in L_Q \cdot L$

$A_k(L, \ell_0) := \sum_{\ell \in L} \frac{1}{(\ell + \ell_0)^k}$

$\left\{ \begin{aligned} \wp(z, L) &= \frac{1}{z^2} + \sum_{n \geq 1} (n+1)! A_{n+2}(L) \frac{z^n}{n!} \end{aligned} \right.$

$\left\{ \begin{aligned} A_k(L, \ell_0) &= \frac{(-1)^k}{(k-1)!} \left(\frac{d}{dz} \right)^{k-2} \wp(z, L) \Big|_{z=\ell_0}, \quad \frac{d}{dz} = \text{the translation invariant derivation dual to } \omega \\ & \quad k \geq 3 \end{aligned} \right.$

Note $\frac{1}{2} \cdot (-1)^k (k-1)! \cdot A_k(2\pi\sqrt{-1}\mathbb{Z} + 2\pi\sqrt{-1}\tau) = \frac{(k-1)!}{(2\pi\sqrt{-1})^k} \zeta(k) + \sum_{n \geq 1} \sigma_{k-1}(n) q^n = E_k(\tau)$

$\text{wt} = 2$: $\ell_0 \in L_Q \cdot L$

$A_2'(L, \ell_0) := \frac{1}{\ell_0^2} + \sum_{\ell \in L \setminus \{0\}} \left[\frac{1}{(\ell + \ell_0)^2} - \frac{1}{\ell^2} \right] = \wp(z, L) \Big|_{z=\ell_0}$

§11 Modular interpretation of some Eisenstein series of weight one

$$\zeta(z; L) = \frac{1}{z} + \sum_{\ell \in L \setminus \{0\}} \left[\frac{1}{(z+\ell)} - \frac{1}{\ell} + \frac{z}{\ell^2} \right] \quad \text{Weierstrass } \zeta$$

$$\eta = x \frac{dx}{y} = \wp(z; L) dz = -d\zeta(z; L)$$

Hecke: $A_1(L, \ell_0) := \zeta(\ell_0; L) + \frac{1}{N} \langle \eta, N\ell_0 \rangle$ Choose $N \stackrel{*}{\downarrow}$ s.t. $N\ell_0 \in L$

$\ell \in L_{\mathbb{Q}} \setminus L$ $\int_{N\ell_0} \eta = \zeta(z; L) - \zeta(z+N\ell_0)$

The modular interpretation uses

$$0 \rightarrow \mathcal{W}_{E/S} \rightarrow E^t \xrightarrow{\pi} E \rightarrow 0, \quad E^t = T \rightsquigarrow \left\{ \begin{array}{l} \text{isom. classes of } (\mathcal{L}, \nabla) \\ \text{on } E \times T, \nabla = \text{integrable} \\ \text{conn.} \\ \mathcal{L} = \text{invertible sheaf, deg } \mathcal{L}_t = 0 \\ \forall t \in T \end{array} \right.$$

↑
vector group scheme $\mathcal{W}_{E/S}(T) = H^0(E \times T, \Omega_{E \times T/T}^1)$

Recall: Lemma 1 smooth curve, $D = \sum_i n_i P_i$ divisor, $\{P_i\}$ disjoint sections

Connections $\nabla: \mathcal{O}(D) \rightarrow \mathcal{O}(D) \otimes_{\mathcal{O}_C} \Omega_{C/S}^1$ are of the form

$$g \cdot f \mapsto f dg + g \cdot f \left(\frac{df}{f} + \omega \right) \quad \begin{array}{l} f: \text{local generator of } \mathcal{O}(D) \\ g \in \mathcal{O}_C \end{array}$$

where $\omega = \omega_{\nabla} =$ meromorphic 1-form with $\text{Res}_{P_i}(\omega) = n_i \quad \forall i$.
Write: $\nabla = \nabla_{\omega}$

Lemma 2 $(E, \omega)_{/S/\mathbb{Z}[\frac{1}{2}]}$ generator $P \in E^{\text{aff}}$, then $\omega_P := \frac{1}{2} \frac{y+y(P)}{x-x(P)} \cdot \frac{dx}{y}$

satisfies $\text{Res}_P(\omega_P) = 1, \text{Res}_{z=0}(\omega_P) = -1$

This ω_P defines a point $[(\mathcal{O}(P-0), \nabla_{\omega_P})] \in \pi^{-1}(E^{\text{aff}})$

$P \mapsto [(\mathcal{O}(P-0), \nabla_{\omega_P})] = \xi(P) \in \pi^{-1}(E^{\text{aff}})$
defines a section $\xi: E^{\text{aff}} \rightarrow \pi^{-1}(E^{\text{aff}})$ of $\pi: \pi^{-1}(E^{\text{aff}}) \rightarrow E^{\text{aff}}$
 $E^t \rightarrow E$

Lemma 3: \forall non-zero torsion point P of E/S of order N invertible in \mathcal{O}_S ,
 $\exists!$ point $P^{can} \in E^+$ of order N . ($\circ \circ [N]: \omega_{E/S} \xrightarrow{\sim} \omega_{E/S}$)

Definition / Construction

$$\left(E/S, \omega, P \right) \longmapsto \frac{\xi(P) - P^{can}}{\omega}$$

\uparrow non-trivial torsion point

Note: $\xi(P) - P^{can} \in \omega_{E/S}(S) = \mathcal{O}_S \cdot \omega$

"is" the transcendental defined weight one form

$$\left(L, l_0 \right) \longmapsto A_1(L, L_0)$$

$\uparrow \quad \quad \uparrow$
 $\mathcal{O} \quad \quad \mathcal{O} \cdot L$