

HOW TO COMPUTE THE LUBIN-TATE ACTION

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One dimensional
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The Lubin-Tate
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Honda's formalism

The universal
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Universal
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The first test

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One dimensional formal group laws

- a one-dimensional formal group law over a comm. ring R
= a one-dimensional comm. smooth formal group G over R
+ a rigidification $\mathrm{Spf}(R[[x]]) \xrightarrow{\sim} G$
= a formal power series $G(x, y) \in R[[x, y]]$ such that
- $G(x, y) = G(y, x)$
 - $G(x, y) \equiv x + y \pmod{\text{degree} \geq 2}$
 - $G(x, G(y, z)) = G(G(x, y), z)$

A homomorphism from $G(x, y)$ to $F(x, y)$ over R is (represented by) a formal power series $\phi(x) \in R[[x]]$ such that

$$F(\phi(x), \phi(y)) = \phi(G(x, y))$$

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The height of a one-dimensional formal group

Let $k \supset \mathbb{F}_p$ be a field of char. $p > 0$. Let $G(x, y)$ be a one-dim. formal group law over k .

$$[p]_G(x) = \begin{cases} 0 & \text{height} = \infty \\ x^{p^h} \pmod{x^{p^{h+1}}} & \text{height} = h \end{cases}$$

If $k = k^{\mathrm{alg}}$, then $G(x, y)$ is determined by its height up to non-unique isomorphisms.

Examples.

- $\mathbb{G}_a(x, y) = x + y$, height = ∞ in char. $p > 0$
- $\mathbb{G}_m(x, y) = x + y + xy$, height = 1 in char. p .

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Notation: a Lubin-Tate formal group

Let h be a positive integer, fixed from now on. Let $\kappa_s = \mathbb{F}_{p^h}$.

- $g_h(x) := \sum_{j \in \mathbb{N}} p^{-j} x^{p^{jh}} = x + \frac{x^{p^h}}{p} + \frac{x^{p^{2h}}}{p^2} + \dots$
- Define $G_{W(\kappa_s)} \in \mathbb{Z}_{(p)}[[x, y]] \subset W(\kappa_s)[[x, y]]$ by

$$G_{W(\kappa_s)}(x, y) := g_h^{-1}(g_h(x) + g_h(y))$$

Remark. $G_{W(\kappa_s)}$ is a Lubin-Tate formal group for $W(\mathbb{F}_{p^h})$: $\text{End}_{W(\kappa_s)}(G_{W(\kappa_s)}) \simeq W(\mathbb{F}_{p^h})$

- Let G_s be the closed fiber of $G_{W(\kappa_s)}$; it is a one-dimensional formal group (law) over \mathbb{F}_p of height h .
- It is well-known that $\text{End}_{\kappa_s}(G_s)$ is the maximal order of $\text{End}_{\kappa_s}^0(G_s) =$ a central division algebra over \mathbb{Q}_p of dimension h^2 . So $\text{Aut}_{\kappa_s}(G_s) = \text{End}_{\kappa_s}(G_s)^\times$ is a compact h^2 -dimensional p -adic group with center \mathbb{Z}_p^\times .

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The Lubin-Tate deformation functor

Let \mathbf{Art}_{κ_s} be the category whose objects consists of pairs $(R, \varepsilon : \kappa_s \rightarrow \kappa)$, where

- R is an Artinian commutative local ring,
- $\kappa = R/\mathfrak{m}_R$,
- ε is a ring homomorphism.

The deformation functor

$$\mathcal{D}ef(G_s) : \mathbf{Art}_{\kappa_s} \longrightarrow \mathbf{Sets}$$

sends each object $(R, \varepsilon : R \rightarrow \kappa)$ of \mathbf{Art}_{κ_s} to the set of all isomorphism classes of pairs

$$\left(\Phi, \psi : \Phi \times_{\text{Spec}(R)} \text{Spec}(\kappa) \xrightarrow{\sim} \Phi_s \times_{\text{Spec}(\kappa_s), \varepsilon} \text{Spec}(\kappa) \right),$$

where Φ is a one-dimensional formal group over R .

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The Lubin-Tate moduli space

Equivalently, $\mathcal{D}ef(G_s)(R, \varepsilon)$ is the set of all $*$ -isomorphism classes of one-dimensional formal group laws Φ over R whose closed fiber is $\varepsilon_* G_s$.

Recall: An isomorphism $\phi(x)$ from G_1 to G_2 over R is a $*$ -isomorphism if $\phi(x) \equiv x \pmod{\mathfrak{m}_R}$.

Fact. $\mathcal{D}ef(G_s)$ is representable by a formal scheme \mathcal{M}_h which is formally smooth over $W(\kappa_s)$ of relative dimension $h - 1$. In other words there is a universal one-dimensional deformation Φ_{univ} over \mathcal{M}_h such that every deformation of G_s over (R, ε) is the pull-back of Φ_{univ} via a unique morphism $\text{Spf}(R) \rightarrow \mathcal{M}_h$.

The Lubin-Tate action

The compact p -adic group $\text{Aut}(G_0) = \text{End}(G_0)^\times$ operates on \mathcal{M}_h by “change of marking”, as follows:

$$\gamma: [(\Phi, \psi)] \mapsto [(\Phi, \gamma \circ \psi)] \quad \forall [(\Phi, \psi)] \in \mathcal{D}(G_s)((R, \varepsilon))$$

for any $\gamma \in \text{Aut}(G_s)$ and any object (R, ε) in \mathbf{Art}_{κ_s} .

Equivalently, applying the above to the universal deformation Φ_{univ} : $\forall \gamma \in \text{Aut}(G_s)$, we have a commutative diagram

$$\begin{array}{ccc} \Phi_{\text{univ}} & \xrightarrow{\tilde{\xi}(\gamma)} & \Phi_{\text{univ}} \\ \pi \downarrow & & \downarrow \pi \\ \mathcal{M}_h / \text{Spec}(W(\kappa_s)) & \xrightarrow{\xi(\gamma)} & \mathcal{M}_h / \text{Spec}(W(\kappa_s)) \end{array}$$

where $\xi(\gamma)$ is an automorphism of \mathcal{M}_h and $\tilde{\xi}(\gamma)$ is a formal group isomorphism with $\tilde{\xi}(\gamma)|_{G_s} = \gamma$.

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The Lubin-Tate action, continued

Remark. 1. This action $\gamma \mapsto \rho(\gamma)$ of $\text{Aut}(G_0)$ on the Lubin-Tate moduli space \mathcal{M}_h was first studied by Lubin and Tate in 1966. It is also known as (the essential part of) the *Morava stabilizer subgroup* action in chromatic homotopy theory.

2. If one passes to the divided power envelope

$$W(\kappa_S)[[w_1, \dots, w_{h-1}]] [w_i^n / n!]_{n \in \mathbb{N}, i \leq h-1}$$

of the coordinate ring $W(\kappa_S)[[w_1, \dots, w_{h-1}]]$, one can “linearize” the action by crystalline theory.

However this is not very useful for studying the action of $\text{Aut}(G_S)$ on the characteristic p fiber of \mathcal{M}_h .

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Set-up with a Frobenius lifting

Fix a prime number p . Our base ring A is cast in a quadruple $(A, K, \mathfrak{a}, \sigma)$, where

- K is a commutative ring with 1,
- A is a subring of K containing 1,
- $\mathfrak{a} \subset A$ is an ideal of A , and
- $\sigma : K \rightarrow K$ is a ring endomorphism,

such that conditions (a)–(c) below are satisfied.

- $p \in \mathfrak{a}$,
- $\sigma(A) \subset A$,
- $\sigma(a) \equiv a^q \pmod{\mathfrak{a}}$ for all $a \in A$,
- $\sigma((A : \mathfrak{a})) \subset (A : \mathfrak{a})$, where $(A : \mathfrak{a}) := \{y \in K \mid y \cdot \mathfrak{a} \subset A\}$.

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A twisted power series ring

Let $K[[\partial]]_\sigma$ be the ring of formal power series in ∂ with coefficients in K such that $\partial b = \sigma(b)\partial$ for all $b \in K$, i.e.

$$\left(\sum_{j \in \mathbb{N}} b_j \cdot \partial^j \right) \cdot \left(\sum_{i \in \mathbb{N}} c_i \cdot \partial^i \right) = \sum_{k \in \mathbb{N}} \left(\sum_{j+i=k} b_j \cdot \sigma^j(c_i) \right) \cdot \partial^k.$$

Define a left action of the ring $K[[\partial]]_\sigma$ on power series rings $K[[t]]^n$ by

$$\left(\sum_{j \in \mathbb{N}} b_j \cdot \partial^j \right) * g = \sum_{j \in \mathbb{N}} b_j \cdot (\sigma^j g)(t_1^q, \dots, t_m^q)$$

Functional equations

- An element $u \in K[[\partial]]_\sigma$ is a *special element* if u has the form

$$u = 1 - \sum_{j \geq 1} s_j \cdot \partial^j, \quad s_j \in K \quad \forall j \geq 1$$

such that $\sigma \cdot s_j \in A \quad \forall j \geq 1$.

- An element $h(x) \in K[[t]]$ is *u-integral* if $u * h \in A[[t]]$.
- An element $f(x) \in K[[x]]_0$ (i.e. $f(0) = 0$) is said to be *regular u-integral* if $u * f \in A[[x]]$ and $f'(0) \in A^\times$. In other words $f(x)$ satisfies a “functional equation” of the form

$$f(x) = g(x) + \sum_{j \geq 1} s_j \cdot (\sigma^j f)(x^{q^j})$$

with $g(x) \in A[[x]]$, $g(0) = 0$, and $g'(0) \in A^\times$.

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Functional equation lemma

Proposition. Let $u \in K[[\partial]]_\sigma$ be a special element. Let $f(\underline{x})$ be a regular u -integral element in $K[[x]]_0$

- (1) The element $F(\underline{x}, y) := f^{-1}(f(x) + f(y)) \in K[[x, y]]$ belongs to $A[[x, y]]$. Hence $F(x, y)$ is a formal group law over A .
- (2) For any u -integral element $h(\underline{t}) \in K[[\underline{t}]]_0 = K[[t_1, \dots, t_m]]_0$, the element $f^{-1}(h(\underline{t})) \in K[[\underline{t}]]_0$ belongs to $A[[\underline{t}]]_0$.
- (3) Suppose that $\alpha(\underline{z}) \in A[[\underline{z}]]_0 = A[[z_1, \dots, z_k]]_0$, $\beta(\underline{z}) \in K[[\underline{z}]]_0 = K[[z_1, \dots, z_k]]_0$. Then for all $r \geq 1$
$$\alpha(\underline{z}) \equiv \beta(\underline{z}) \pmod{\mathfrak{a}^r} \quad \text{iff} \quad f(\alpha(\underline{z})) \equiv f(\beta(\underline{z})) \pmod{\mathfrak{a}^r}.$$
- (4) $\forall \psi \in A[[\underline{t}]]_0$, the element $f(\psi(\underline{t})) \in K[[\underline{t}]]_0$ is u -integral.
- (5) $\forall v \in A[[\partial]]_\sigma$ and $\forall \psi \in A[[\underline{t}]]_0$ we have

$$v * (f \circ \psi) \equiv (v * f) \circ \psi \pmod{\mathfrak{a} \cdot A[[\underline{t}]]}$$

Essential uniqueness of functional equation

Proposition. Let u be a special element of $K[[\partial]]_\sigma$ and let $f(x) \in K[[x]]$ be regular u -integral. Suppose that

$$v = \sum_{j \in \mathbb{N}} \tilde{x}_j \cdot \partial^j \in K[[\partial]]_\sigma, \quad \tilde{x}_j \in (A : \mathfrak{a}) \quad \forall j$$

and f is v -integral. Then $\exists! c \in A[[\partial]]_\sigma$ such that $v = c \cdot u$ in $K[[\partial]]_\sigma$. In particular if v is also a special element, then $v \in A[[\partial]]_\sigma^\times \cdot u$.

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How to construct homomorphisms over A/\mathfrak{a}

Let u, v be special elements in $K[[\partial]]_\sigma$. Let $f, g \in K[[x]]_0$ be regular u -integral and v -integral respectively. Let F and G be the formal group laws over A with logarithms f and g .

Proposition. For any $c \in A[[\partial]]_\sigma$, let $\phi_{g,c,f}(x) := g^{-1}(c * f)$.

- (1) $\phi_{g,c,f}(x) \in A[[x]]_\sigma$ iff $\exists d \in A[[\partial]]_\sigma$ such that $v \cdot c = d \cdot u$.
- (2) If $v \cdot c = d \cdot u$ and $d \in A[[\partial]]_\sigma$, then the image of $\phi_{f,g,c}$ in $(A/\mathfrak{a})[[x]]$ defines an (A/\mathfrak{a}) -homomorphism

$$[\phi_{g,c,f}] : (F \bmod \mathfrak{a}) \rightarrow (G \bmod \mathfrak{a}).$$

- (3) Suppose that w is a special element in $K[[\partial]]_\sigma$, $h \in K[[x]]_0$ is w -regular and H is the formal group law over A with logarithm h . Suppose $c, d \in A[[\partial]]_\sigma$ and $w \cdot c' = d' \cdot v$. Then

$$[\phi_{h,c',g}] \circ [\phi_{g,c,f}] = [\phi_{h,c',c,f}].$$

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The universal p -typical formal group law

Let $\tilde{R} = \mathbb{Z}_{(p)}[[v]] = \mathbb{Z}_{(p)}[[v_1, v_2, v_3, \dots]]$, and let $\sigma : \tilde{R} \rightarrow \tilde{R}$ be the ring homomorphism such that $\sigma(v_j) = v_j^p$ for all $j \geq 1$

Let $G_{\tilde{v}}(x) \in \tilde{R}[[x, y]]$ be the one-dimensional p -typical formal group law over \tilde{R} whose logarithm

$$g_{\tilde{v}}(x) \in \tilde{R}[1/p][[x]] = \sum_{n \geq 1} a_n(v) \cdot x^{pn}$$

satisfies

$$g_{\tilde{v}}(x) = x + \sum_{i=1}^{\infty} \frac{v_i}{p} \cdot g_{\tilde{v}}^{(\sigma^i)}(x^{p^i})$$

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Remarks on the formal group law $G_{\mathbf{v}}$

Remarks. (1) The above “functional equation” is a recursive formula for the coefficients $a_n(\mathbf{v}) \in p^{-n} \cdot \mathbb{Z}_p[v_1, v_2, \dots, v_n]$ of $g_{\mathbf{v}}(x)$.

(2) Explicitly:

$$\begin{aligned} a_n(\mathbf{v}) &= \sum_{\substack{i_1, i_2, \dots, i_r \geq 1 \\ i_1 + \dots + i_r = n}} p^{-r} \cdot \prod_{s=1}^r v_{i_s}^{p^{i_1 + i_2 + \dots + i_{s-1}}} \\ &= \sum_{\substack{i_1, i_2, \dots, i_r \geq 1 \\ i_1 + \dots + i_r = n}} p^{-r} \cdot v_{i_1} \cdot v_{i_2}^{p^{i_1}} \cdot v_{i_3}^{p^{i_1 + i_2}} \cdots v_{i_r}^{p^{i_1 + \dots + i_{r-1}}} \end{aligned}$$

Note that $a_n(\mathbf{v})$ is a homogeneous polynomial in v_1, \dots, v_n of weight $p^n - 1$ when v_j is given the weight $p^j - 1 \quad \forall j \geq 1$.

(3) The formal group law $G_{\mathbf{v}}$ over \tilde{R} is “the” universal one-dimensional p -typical formal group law.

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The universal formal group over \mathcal{M}_h made explicit

Let $R = R_h = W(\overline{\mathbb{F}_p})[[w_1, w_2, \dots, w_{h-1}]]$.

Let $\pi = \pi_h : \tilde{R} \rightarrow R$ be the ring homomorphism such that

$$\pi(v_i) = \begin{cases} w_i & \text{if } 1 \leq i \leq h-1 \\ 1 & \text{if } i = h \\ 0 & \text{if } i \geq h+1 \end{cases}$$

The classifying morphism $\mathrm{Spf}(R) \rightarrow \mathcal{M}_h$ for the deformation $\pi_* G_{\mathbf{v}}$ of G_0 is an isomorphism.

We will identify \mathcal{M}_h with $\mathrm{Spf}(R)$ and the universal deformation G_{univ} of G_0 with the formal group underlying the formal group law $G_R := \pi_* G_{\mathbf{v}}$.

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The universal strict isomorphism

Let $\mathbb{Z}_{(p)}[\underline{y}, \underline{t}] = \mathbb{Z}_{(p)}[v_1, v_2, v_3, \dots; t_1, t_2, t_3, \dots]$, and let $\sigma : \mathbb{Z}_{(p)}[\underline{y}, \underline{t}] \rightarrow \mathbb{Z}_{(p)}[\underline{y}, \underline{t}]$ be the obvious Frobenius lifting as before, with $\sigma(v_i) = v_i^p$ and $\sigma(t_i) = t_i^p \forall i \geq 1$.

Let $G_{\underline{y}, \underline{t}}(x, y)$ be the one-dimensional formal group law over $\mathbb{Z}_{(p)}[\underline{y}, \underline{t}]$ whose logarithm $g_{\underline{y}, \underline{t}}(x)$ satisfies

$$g_{\underline{y}, \underline{t}}(x) = x + \sum_{i=1}^{\infty} t_i \cdot x^{p^i} + \sum_{j=1}^{\infty} \frac{v_j}{p} \cdot g_{\underline{y}, \underline{t}}^{(\sigma^j)}(x^{p^j})$$

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The universal strict isomorphism, continued

It is known that $\alpha_{\underline{y}, \underline{t}} := g_{\underline{y}, \underline{t}}^{-1} \circ g_{\underline{y}} \in \mathbb{Z}_{(p)}[\underline{y}, \underline{t}][[x]]$, and defines a *strict isomorphism*

$$\alpha_{\underline{y}, \underline{t}} : G_{\underline{y}} \rightarrow G_{\underline{y}, \underline{t}}$$

between p -typical formal group laws over $\mathbb{Z}_{(p)}[\underline{y}, \underline{t}]$.

(A *strict isomorphism* is an isomorphism between formal group laws which is $\equiv x$ modulo higher degree terms in x .)

Moreover $\alpha_{\underline{y}, \underline{t}}$ is “the” universal strict isomorphism between one-dimensional p -typical formal group laws.

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Parameters of $G_{\underline{v}, \underline{t}}$

By the universality $G_{\underline{v}}$ for p -typical formal group laws, there exists a unique ring homomorphism

$$\eta : \mathbb{Z}_{(p)}[\underline{v}] \rightarrow \mathbb{Z}_{(p)}[\underline{v}, \underline{t}]$$

such that

$$\eta_* G_{\underline{v}} = G_{\underline{v}, \underline{t}}.$$

The elements

$$\bar{v}_n = \bar{v}_n(\underline{v}, \underline{t}) \in \mathbb{Z}_{(p)}[\underline{v}, \underline{t}], \quad n \in \mathbb{N}_{\geq 1}$$

are the *parameters* of the p -typical formal group law $G_{\underline{v}, \underline{t}}$.

A known recursive formula for the parameters of $G_{\underline{v}, \underline{t}}$

$$\begin{aligned} \bar{v}_n &= v_n + p t_n + \sum_{\substack{i+j=n \\ i, j \geq 1}} (v_j t_i^{p^j} - t_i \bar{v}_j^{p^j}) \\ &+ \sum_{j=1}^{n-1} a_{n-j}(\underline{v}) \cdot (v_j^{p^{n-j}} - \bar{v}_j^{p^{n-j}}) \\ &+ \sum_{k=2}^{n-1} a_{n-k}(\underline{v}) \cdot \sum_{\substack{i+j=k \\ i, j \geq 1}} (v_j^{p^{n-k}} t_i^{p^{n-i}} - t_i^{p^{n-k}} \bar{v}_j^{p^{n-j}}) \end{aligned}$$

(This formula contains high power of p in the denominators. Consequently it is not very useful for our purpose.)

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An integral recursion formula for $\bar{v}_n(\underline{v}, \underline{t})$

(useful for computing the Lubin-Tate action)

$$\begin{aligned} \bar{v}_n &= v_n + p t_n - \sum_{j=1}^{n-1} t_j \cdot \bar{v}_{n-j}^{p^j} + \\ &+ \sum_{l=1}^{n-1} v_l \sum_{k=1}^{n-l-1} \frac{1}{p} \cdot a_{n-k-l}(\underline{v})^{(p^l)} \cdot \left\{ (\bar{v}_k^{(p^l)})^{p^{n-l-k}} - (\bar{v}_k^{p^l})^{p^{n-l-k}} \right. \\ &\quad \left. + \sum_{\substack{i+j=k \\ i,j \geq 1}} t_j^{p^{n-k}} \left[(\bar{v}_i^{(p^l)})^{p^{n-l-i}} - (\bar{v}_i^{p^l})^{p^{n-l-i}} \right] \right\} \\ &+ \sum_{l=1}^{n-1} v_l \cdot \left\{ \frac{1}{p} (\bar{v}_{n-l}^{(p^l)} - \bar{v}_{n-l}^{p^l}) + \sum_{\substack{i+j=n-l \\ i,j \geq 1}} t_j^{p^l} \cdot \frac{1}{p} \cdot \left[(\bar{v}_i^{(p^l)})^{p^l} - (\bar{v}_i^{p^l})^{p^l} \right] \right\} \end{aligned}$$

for every $n \geq 1$.

The groupoid underlying the universal strict isomorphism

Let $X_0 := \text{Spec}(\bar{R})$, $X_1 := \text{Spec}(\bar{\Gamma})$. Consider the diagram

$$X_0 \xleftarrow{\text{source}} X_1 \xrightarrow{\text{target}} X_0,$$

where the *source* arrow corresponds to $\bar{R} \hookrightarrow \bar{\Gamma}$ and the *target* arrow corresponds to the ring homomorphism $\eta : \bar{R} \rightarrow \bar{\Gamma}$. The above diagram is part of a natural groupoid structure such that the (partial) product

$$\mu : X_1 \times_{s, X_0, t} X_1 \rightarrow X_1$$

corresponds to composition of strict isomorphisms between p -typical formal group laws.

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Three ways to think about the moduli space \mathcal{M}_h

1. All p -typical deformations of G_s whose parameters satisfy $v_h = 1, v_{h+1} = v_{h+2} = \dots = 0$.
2. All p -typical deformations of G_s whose parameters satisfy $v_{h+1} = v_{h+2} = \dots = 0$, up to/modulo *scaling by units*
3. All p -typical deformations of G_s , modulo the equivalence relations generated by
 - strict $*$ -isomorphisms, and
 - scaling by units.

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Rough idea

Start with an element $\gamma \in \text{Aut}(G_s)$.

1. Use Honda's formalism to construct an isomorphism $\psi_\gamma : F_\gamma \rightarrow \phi_* G_{\underline{v}}$ in equi-characteristic p whose closed fiber is equal to γ .
2. Compute the parameters v_1, v_2, v_3, \dots of F_γ . (By recursive relations).
3. Change F_γ by a strict isomorphism with suitable parameters t_1, t_2, t_3, \dots , to a new p -typical formal group law whose (new) parameters satisfy $v_{h+1} = v_{h+2} = \dots = 0$.
(Implicit function theorem applied to ∞ -dimensional spaces)
4. Rescale to make $v_h = 1$.

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Step 1

Given an element $\gamma \in \text{Aut}(G_0)$, construct

- a p -typical one-dimensional formal group law $F = F_\gamma$ over R whose closed fiber is equal to G_0 , and
- an isomorphism

$$\bar{\psi} = \bar{\psi}_\gamma : F_{\bar{R}} \rightarrow G_{\bar{R}}$$

over $\bar{R} := R/pR = \bar{\mathbb{F}}_p[[w_1, \dots, w_{h-1}]]$ whose restriction to the closed fibers is

$$(\psi|_{G_0} : G_0 \rightarrow G_0) = \gamma.$$

Here $F_{\bar{R}} = F \otimes_R \bar{R}$, $G_{\bar{R}} = G_R \otimes_R \bar{R}$.

Note that both the formal group law F over R and the isomorphism ψ over \bar{R} depends on the given element $\gamma \in \text{Aut}(G_0)$.

The formal group law F_c , $c \in W(\mathbb{F}_{p^h})^\times$

For $\gamma = [c] \in W(\mathbb{F}_{p^h})^\times = \text{Aut}(G_1)$, we can take F_c to be the formal group over R whose logarithm $g_c(x)$ satisfies

$$f_c(x) = x + \sum_{i=1}^h \frac{c^{-1+\sigma^i} \cdot w_i}{p} \cdot f_c^{(\sigma^i)}(x^{p^i})$$

($w_h=1$ by convention).

Let

$$\psi_c(x) = \log_{G_R}^{-1} \circ (c \cdot f_c)$$

We have $\psi_c(x) \in R[[x]]$ and ψ_c defines an isomorphism from F_c to G_R over R (not just over \bar{R} !) with $\psi_c|_{G_0} = [c]$.

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Step 2

Compute the parameters

$$(u_i = u_i(w_1, \dots, w_{h-1}))_{i \in \mathbb{N}_{\geq 1}}$$

for the p -typical group law $F = F_\gamma$ over R .

The above condition means that

$$\xi_* G_{\tilde{v}} = F,$$

where

$$\xi = \xi_\gamma: \tilde{R} \rightarrow R$$

is the ring homomorphism such that

$$\xi(v_i) = u_i \quad \forall i \geq 1.$$

Parameters for F_c , $c \in W(\mathbb{F}_{p^h})^\times$

In the case when $\gamma \in \text{Aut}(G_0)$ lifts to an element $[c]$ with $c \in W(\mathbb{F}_{p^h})^\times \simeq \text{Aut}(G_1)$, we have the following integral recursive formula for the parameters $u_n = u_n(c; \underline{w})$.

$$\begin{aligned}
u_n(c; \underline{w}) &= c^{-1+\sigma^n} w_n \\
&+ \sum_{j=1}^{n-1} c^{-1+\sigma^j} \cdot \frac{1}{p} \left[u_{n-j}(c; \underline{w})^{(p^j)} - u_{n-j}(c; \underline{w})^{p^j} \right] \cdot w_j \\
&+ \sum_{j=1}^{n-1} \sum_{i=1}^{n-j-1} \frac{1}{p} a_{n-i-j}(\underline{w})^{(p^j)} \cdot c^{-1+\sigma^{n-i}} \\
&\quad \left[(u_i(c; \underline{w})^{(p^j)})^{p^{n-i-j}} - (u_i(c; \underline{w})^{p^j})^{p^{n-i-j}} \right] \cdot w_j
\end{aligned}$$

where $w_h = 1$, $w_m = 0 \forall m \geq h+1$ by convention.

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Parameters for F_c , continued

Remark. The above recursive formula for the parameters $u_n(c; \underline{w})$ can be turned into an explicit “path sum” formula for $u_n(c, \underline{w})$, with terms indexed by “paths”.

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Step 3

Find/compute the uniquely determined element

$$\tau_n \in \mathfrak{m}_R, \quad n \in \mathbb{N}_{\geq 1}$$

and

$$\hat{u}_1 \in \mathfrak{m}_R, \dots, \hat{u}_{h-1} \in \mathfrak{m}_R, \hat{u}_h \in 1 + \mathfrak{m}_R$$

such that

$$\bar{v}_n(\hat{u}_1, \hat{u}_2, \dots, \hat{u}_h, 0, 0, \dots; \underline{\tau}) = u_n \quad \forall n \geq 1.$$

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Remark. (1) The existence and uniqueness statement above is an application the implicit function theorem for an infinite dimensional space over \bar{R} , applied to the “vector-valued” function with components \bar{v}_n in the integral recursion formula discussed before.

(2) This step is a substitute for the operation *taking the quotient of the group “changes of coordinates”* in a space of formal group laws.

(3) The approximate solution coming from the linear term in the τ_j variables is often good enough for our application.

A congruence formula for \bar{v}_n

The follow formula helps to explain the last remark.

$$\begin{aligned} \bar{v}_n \equiv & v_n - \sum_{j=1}^n t_j \cdot v_{n-j}^{p^j} \\ & + \sum_{\substack{i,j,t,s_1+s_2+\dots+s_t \geq 1 \\ s_1+\dots+s_t+i+j=n}} (-1)^{t-1} t_i \cdot v_j^{p^i} \cdot v_1^{(p^{s_1}+p^{s_2}+\dots+p^{s_t}-t)/(p-1)} \\ & \cdot v_{n-s_1}^{p^{s_1}-1} \cdot v_{n-s_1-s_2}^{p^{s_2}-1} \cdots v_{n-s_1-\dots-s_t}^{p^{s_t}-1} \\ & \text{mod } (pt_a, t_a \cdot t_b)_{a,b \geq 1} \mathbb{Z}[\underline{v}, \underline{t}] \end{aligned}$$

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Step 4

Rescale $\hat{u}_1, \hat{u}_2, \dots, \hat{u}_h$ as follows:

$\exists! \tau_0 \in \mathfrak{m}_R$ such that

$$(1 + \tau_0)^{p^h - 1} \cdot \hat{u}_h = 1.$$

Let

$$\hat{v}_i := (1 + \tau_0)^{p^i - 1} \cdot \hat{u}_i \text{ for } i = 1, \dots, h-1.$$

Let $\omega : \bar{R} \rightarrow R$ be the ring homomorphism such that

$$\omega(v_i) = \hat{u}_i \quad \forall i \geq 1.$$

Let $\rho : R \rightarrow R$ be the $W(\overline{\mathbb{F}}_p)$ -linear ring homomorphism such that

$$\rho(w_i) = \hat{v}_i \quad \forall i \geq 1.$$

The meaning of Steps 3 and 4

The universal strict isomorphism $\alpha_{y,t}$ specializes to a strict isomorphism

$$\alpha = \alpha_{\bar{u}, \underline{t}} : F \rightarrow \omega_* G_{\underline{y}}$$

with $\alpha|_{G_0} = \text{Id}_{G_0}$.

The rescaling in step 4 gives an isomorphism (not necessarily a strict isomorphism)

$$\beta : \omega_* G_{\underline{y}} \rightarrow \rho_* G_R$$

with $\beta|_{G_0} = \text{Id}_{G_0}$.

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Conclusion

Combined with $\bar{\psi}$, we obtain an isomorphism

$$\bar{\psi} \circ \bar{\alpha}^{-1} \circ \bar{\beta}^{-1} : \bar{\rho}_* G_{\bar{R}} \rightarrow G_{\bar{R}}$$

whose restriction to the closed fiber G_0 is equal to the given element $\gamma \in \text{Aut}(G_0)$.

(Here $\bar{\alpha} = \alpha \otimes_R \bar{R}$ and $\bar{\beta} = \beta \otimes_R \bar{R}$.)

Conclusion. The given element $\gamma \in \text{Aut}(G_0)$ operates on the equi-characteristic deformation space $\text{Spf}(\bar{R})$ of G_0 via the ring automorphism ρ .

(Notice that $\bar{\psi}$, α and β all depend on γ .)

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Local rigidity for the Lubin-Tate moduli space: the first non-trivial case

Proposition. Let $Z \subset \mathcal{M}_{3, \mathbb{F}_p} = \text{Spf}(\overline{\mathbb{F}}_p[[w_1, w_2]])$ be an irreducible closed formal subscheme of \mathcal{M}_3 over $\overline{\mathbb{F}}_p$ corresponding to a height one prime ideal of $\overline{\mathbb{F}}_p[[w_1, w_2]]$. If Z is stable under the action of an open subgroup of $W(\mathbb{F}_p^3)^\times$, then $Z = \text{Spf}(\overline{\mathbb{F}}_p[[w_1, w_2]]/(w_1))$.

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