

# Categories and functors

Last Friday: moduli functors.

## Categories

Functors  $F: \mathcal{C} \rightarrow \mathcal{D}$  covariant -

$$\text{Ob}(\mathcal{C}) \ni X \quad F(X) \in \text{Ob}(\mathcal{D})$$

$$\begin{array}{ccc}
 X & & F(X) \\
 f \downarrow & & \downarrow F(f) \\
 Y & & F(Y)
 \end{array}$$

satisfying "obvious properties".

$$F(\text{id}_X) = \text{id}_{F(X)}$$

$$F(g \circ f) = F(g) \circ F(f)$$

## Adjoint functors

$$\begin{array}{ccc}
 \mathcal{C} & \xrightarrow{F} & \mathcal{D} \\
 & \xleftarrow{G} &
 \end{array}$$

functorial  
natural bijection

$$\text{Hom}_{\mathcal{C}}(G(X), Y) \xleftrightarrow{\alpha(X, Y)} \text{Hom}_{\mathcal{D}}(X, F(Y))$$

$$\forall X \in \text{Ob}(\mathcal{D})$$

we say ~~and~~  $G$  is the left adjoint of  $F$

and  $F$  is the right adjoint of  $G$

Example: 1) free commutative  $R$ -algebra with a given set of free generators  $S$ , where  $R$  is a commutative algebra.

$R[x_s]_{s \in S}$  polynomial alg. over  $R$  with generator set  $S$

$$\left\{ \sum_{I: S \rightarrow \mathbb{N}} a_I \cdot \prod_{s \in S} x_s^{a_s} \mid a_I \in R \ \forall I \right\}$$

$\uparrow$   
 monomial

$\uparrow$   
 finite support

$(R[x_s]_{s \in S}, S)$  has the following universal property.  
 $\forall$  commutative  $R$ -algebra  $A$ .

have a natural / functorial bijection

$$\text{Hom}_{\text{comm. R-alg}} (R[x_s]_{s \in S}, A) \longleftrightarrow \left( \begin{array}{c} \text{functions from } S \text{ to} \\ A \end{array} \right)$$

$\parallel$   
 $\text{Hom}_{\text{Sets}} (S, \text{the set underlying } A)$

$$\begin{array}{ccc} \left( \begin{array}{c} \text{Commutative} \\ \text{R-alg.} \end{array} \right) & \xrightarrow{\text{"stripping"}} & \left( \text{Sets} \right) \\ & \longleftarrow & \\ & & \downarrow \\ R[x_s]_{s \in S} & \longleftrightarrow & S \end{array}$$

Tensor products

$R$ : a ring,

$M$ : a right  $R$ -module

$N$ : a left  $R$ -module

$\leadsto M \otimes_R N = \text{a } \mathbb{Z}\text{-module}$

characterizing property:

$$\begin{array}{ccc} \text{i) } M & \longrightarrow & M \otimes_R N \\ N & \longrightarrow & \end{array}$$

$$\text{*ii) } \text{Hom}_{\mathbb{Z}}(M \otimes_R N \longrightarrow A)$$

$$\left. \begin{array}{c} \text{functional} \\ \text{bijection} \end{array} \right\} \left. \begin{array}{c} \mathbb{Z}\text{-module} \\ f: M \times N \longrightarrow A \end{array} \right\} \left. \begin{array}{l} \mathbb{Z}\text{-bilinear} \\ f(m \cdot r, n) = f(m, rn) \\ \forall m \in M, \forall n \in N, \forall r \in R \end{array} \right\}$$

Exer. Reformulate this in terms of adjoint functors

Construction  $(m_1 + m_2, n) - (m_1, n) - (m_2, n)$

$$M \otimes_R N \stackrel{\text{"def"}}{=} \left\{ \begin{array}{l} \text{free abelian group} \\ \text{with basis } (m, n) \\ m \in M, n \in N \end{array} \right\} / \left\{ \begin{array}{l} \text{the subgroup} \\ \text{generated by} \\ (m_1 + m_2, n) - (m_1, n) - (m_2, n) \\ (m, n_1 + n_2) - (m, n_1) - (m, n_2) \\ (mr, n) - (m, rn) \\ m_1, m_2, m \in M, n_1, n_2, n \in N, r \in R \end{array} \right\}$$

$\nearrow$  a left adjoint.

Check: The required universal property holds!

Properties/Remark natural isomorphisms

$$1) (M_1 \oplus M_2) \otimes_R N \stackrel{\text{Le}}{=} (M_1 \otimes_R N) \oplus (M_2 \otimes_R N)$$

$$M \otimes_R (N_1 \oplus N_2) = (M \otimes_R N_1) \oplus (M \otimes_R N_2)$$

$$2) \quad (M/M') \otimes_R N = M \otimes_R N / \text{Im}(M' \otimes_R N \rightarrow M \otimes_R N)$$

$\uparrow$   
 right  $R$ -submodule of  $M$

abuse notation  
 $= M \otimes_R N / M' \otimes_R N$

$$M \otimes_R (N/N') = M \otimes_R N / \text{Im}(M \otimes_R N' \rightarrow M \otimes_R N)$$

$$3) \quad R \otimes_R N = N$$

$\uparrow$   
nat. isom to

$$M \otimes_R R = M$$

4) If  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  is a short exact sequence of left  $R$ -modules, then

$$M' \otimes_R N \xrightarrow{\alpha} M \otimes_R N \xrightarrow{\beta} M'' \otimes_R N \rightarrow 0$$

$\downarrow$  may not be injective (exer.)  $\text{Ker}(\beta) = \text{Im}(\alpha)$

is an exact sequence of  $\mathbb{Z}$ -modules "right exact in  $M''$ "

Similarly:  $\otimes$  is right exact in  $N$ .

Remark (i) If  $0 \rightarrow M' \otimes_R N \rightarrow M \otimes_R N \rightarrow M'' \otimes_R N \rightarrow 0$  holds  $\forall$  short exact sequence

$$0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$$

$R$ -modules.

Say that  $N$  is a flat left  $R$ -module.

(ii) In general:  $\exists$  a long exact sequence.

$$\begin{array}{ccccccc}
 \text{Tor}_1^R(M, N) & \rightarrow & \text{Tor}_1^R(M', N) & \xrightarrow{\alpha} & M' \otimes_R N & \xrightarrow{\beta} & M'' \otimes_R N \rightarrow 0 \\
 \uparrow & & \downarrow \text{may not be 0} & & & & \\
 \text{Tor}_1^R(M', N) & \leftarrow & & & & & 
 \end{array}$$

Examples of R s.t. the arrow " $\alpha$ " is always an injection?

e.g. When R is a field!

Or more general, when R is a division ring.

possible

More structure on  $M \otimes_R N$

$S^M_R \quad R^N$

1) If M has a structure as a left S-module for some ring S compatible with R, i.e. M is an  $(S, R)$ -bimodule

$$s(mr) = (s \cdot m) \cdot r \quad \forall s \in S \quad \forall r \in R \quad \forall m \in M$$

Then:  $M \otimes_R N$  has a natural structure as a left S-module

Similarly when N is an  $(R, S)$ -bimodule

In particular, if R is commutative, then

$M \otimes_R N$  has a natural R-module str.

"Associativity"  $R, S$ : rings

$M$   $N, P$   
 $R, R, S, S$

$$(M \otimes_R N) \otimes_S P \xrightarrow{\cong} M \otimes_R (N \otimes_S P)$$

↑  
canonical (functorial/natural)

Special case:-  $R$ : commutative

$M$ :  $R$ -module convention

$$\otimes^n M = R$$

$$\bigoplus_{n \in \mathbb{N}} \otimes_R^n M =: T_R^\bullet M$$

↑  
 an  $\mathbb{N}$ -graded  $R$ -algebra.

"free associative  
 tensor algebra of  $M$ "

Exer. Find a universal property which  
 an adjoint pair

which characterizes  $T_R^\bullet M$ .